

SHORT COURSE

SUNDAY, SEPTEMBER 17

OMNI PROVIDENCE HOTEL | PROVIDENCE, RI

Practical Considerations for Biomarker Bioanalysis: Scientific and Regulatory Perspective

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APA 2017 CONFERENCE SHORT COURSE AGENDA

SUNDAY, SEPTEMBER 17

Course Coordinator: Darshana Jani, Pfizer

8:30 am - 9:30 am Registration

9:30 am - 9:40 am "What are Biomarkers? The Role of Biomarkers in Drug Development" **Darshana Jani**, Pfizer

9:40 am - 10:20 am "Biomarker Reference Standard-White Paper" **Paul Rhyne**, Biologics Development Services

10:20 am - 10:50 am "Choosing the Right Assay Platform for Biomarker Quantitation" **Hans Ulrichts**, UCB Pharma

10:50 am - 11:10 am **Break**

11:10 am - 11:40 am "LBA Biomarker Assay Performance - Bioanalytical Challenges and Solutions" **Stephanie Fraser**, Pfizer

11:40 am - 12:10 pm "Biomarker Analysis Using Flow Cytometry Focused on Preclinical Studies" **Martin Schwickart**, Celgene

12:10 pm - 1:10 pm Lunch

1:10 pm - 1:40 pm "Practical Approaches to Protein Biomarker Quantification by LC-MS" **Tim Sikorski**, GlaxoSmithKline

1:40 pm - 2:10 pm "Biomarker Quantitation by LC-MS: Solutions to Challenges" **Fizal Nabbie**, Bristol-Myers Squibb





2:10 pm - 2:20 pm **Break**

2:20 pm - 3:00 pm "A Regulatory Perspective on Biomarkers for Pivotal Studies: Method Validation, Sample Analysis and Inspections" John Kadavil, FDA

3:00 pm - 3:30 pm **Q & A Session**

3:30 pm - 4:30 pm Cocktail Hour Sponsored by







APA SHORT COURSE ABSTRACTS

Choosing Right Assay Platform for Biomarker Quantitation

Hans Ulrichts, UCB Pharma

- Biomarker Selection: from hypothesis to analysis. How to translate study objectives in analytical requirements
- Biomarker Assay Selection: selecting the right tool for the job. How to select the right assay format and platform for the study needs.

LBA Biomarker Assay Performance - Bioanalytical Challenges and Solutions

Stephanie Fraser, Pfizer

The inclusion of protein biomarkers to support preclinical and clinical studies has increased notably over the last 15 years. More often than not program decisions now include and/or depend on data from these biomarkers. The quality of this data relies equally upon an understanding of the biology of the system and robust assay performance. It is common for assay performance issues to arise throughout assay development, qualification and/or validation. Each performance issue provides a unique opportunity to better understand the assay and the data it is capable of delivering. Examples of analytical challenges presented include matrix selection for endogenous biomarkers, dealing with recombinant reference materials, reagent aggregation, and sample stability.

Practical Approaches to Protein Biomarker Quantification by LC-MS

Tim Sikorski, GSK

This lecture will provide an introduction to different LC-MS workflows available for targeted biomarker analysis, and discuss the tradeoffs that are often required when choosing one strategy over another. Also, there will be discussions around lessons learned during study sample analysis using different LC-MS platforms. Finally, this lecture will highlight some systematic method development strategies that Dr. Sikorski's group has developed to ensure measurement accuracy of endogenous protein and minimize unforeseen obstacles during study support.

Biomarker Quantitation by LC-MS: Solutions to Challenges

Fizal Nabbie, BMS

LC-MS application to challenging ligand-binding assays: Case studies showing how LC-MS was used in a hybrid format to resolve challenging issues in Biomarker assays.

A Regulatory Perspective on Biomarkers for Pivotal Studies: Method Validation, Sample Analysis and Inspections

John Kadavil, FDA

The supporting role of biomarker determinations continues to grow in pivotal pharmacokinetic (PK) and pharmacodynamics (PD) studies for drug applications submitted to the FDA. Because of the increased use of biomarker assays, the Office of Study Integrity and Surveillance (OSIS) has broadened its scope of bioanalytical inspections in order to evaluate data and methods associated with biomarker determination in pivotal studies. During an inspection of method validations and study sample analysis for biomarker measurements. OSIS may take into account special considerations when evaluating parameters such as precision, sensitivity, stability, the calibration curve, reproducibility, specificity and selectivity. These considerations may include the technology used, the biological nature of the analyte, the use of the assay, and the intended purpose of the biomarker determination. Although methods for biomarker determination present additional challenges compared to traditional PK assays. variables that are evaluated during inspections of PK studies may still apply to biomarker measurements for pre-study validation and in-study analysis.



APA SHORT COURSE PRESENTATIONS

Darshana Jani, Pfizer



Course Moderator:

Darshana Jani, M.Sc.

Darshana.Jani@pfizer.com



Applied Pharmaceutical Analysis

Sep 17, 2017

Program at Glance • To provide intensive and in-depth training in the field of Biomarkers-practical considerations Objective To provide attendees with a convenient Biomarker references Introduce attendees to Biomarker Network Top scientists of the world Agenda Regulatory Landscape Current practices Technologies Overview Panel Discussion Future Horizons Upcoming platforms GLOBAL INNOVATIVE PHARMA BUSINESS

Disclaimer

 The contents of this presentation reflect the personal opinion of the author and may not represent the official perspectives of the affiliated organization.

GLOBAL INNOVATIVE PHARMA BUSINESS

What is Biomarker?

"Almost anything you can measure"

- A characteristic that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes, or pharmacological responses to a therapeutic intervention.
- Type 0: Markers of natural history of disease and that correlate longitudinally with known clinical indices.
- Type I: Markers that demonstrate mechanism of action of a drug.
- Type II: Markers that predict a clinical benefit (surrogates).

Biomarkers Definitions Working Group, NIH, Clin Pharm&Thera 69(3):89-95

3

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Few More Definitions.....

Source	Definition
National Cancer Institute	A biological molecule found in blood, other body fluids, or tissues that is sign of a normal or abnormal process or of a condition or disease. A biomarker may be used to see how well the body responds to a treatment for a disease or condition. Also called molecular marker or signature molecule
Center for Biomarkers in Imaging (Mass General Hospital)	Anatomic, physiologic, biochemical or molecular parameters associated with the presence and severity of specific disease states
Medicine Net Dictionary	A biochemical feature that be used to measure the progress of disease or effect of treatment

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5

Biomarkers can be used clinically

Screen, diagnose or monitor the activity of diseases
 E.g. Blood sugar to identify and monitor patients with diabetes

Guide molecularly targeted therapy

E.g. BRCA1/2 gene mutations to evaluate a patient's risk of developing certain cancers, including breast and ovarian cancer

Assess therapeutic response

E.g. Viral load counts to evaluate a patient's response to antiretroviral treatments

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Importance of Biomarkers

In the biopharmaceutical industry

- Attrition rate high during drug development
- Provides new ways to measure disease activity and the impact of the medicines being studied
- Biomarkers define molecular taxonomies of patients and diseases
- Serve as surrogate endpoints in early-phase drug trials

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Deep-Dive Role of Biomarkers in Pharmaceutical Research and Development

- Discovery/Preclinical
 - · Increase/confirm understanding of the target/pathway biology
 - Establish POM in early stage of target evaluation/selection
 - · Screening of lead compounds
 - Establish relevance of preclinical model
 - PD considerations and clinical projections

Development/Clinical Studies

- · Bridging the mechanism to humans- May be exploratory in early phase 1
- · Bridging the mechanism to humans- Confirm the mechanism
- · Dose modulation based on PD
- · Potential surrogacy for efficacy
- · Linkage to possible diagnostic tool

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Terminology

Exploratory Biomarkers

Internal decision making They tend to be endpoints that help the sponsor understand the pharmacodynamics or mechanism of action of the compound Hypothesis generation

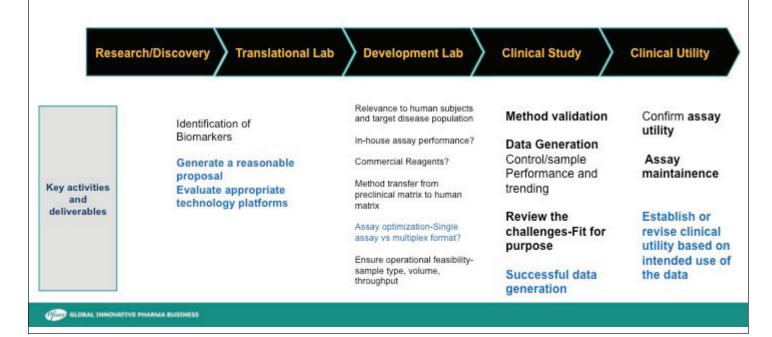
Confirmatory Biomarkers

Support pivotal determinations of efficacy It is critical to ensure highest standards are met to preserve the integrity of the data.

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Biomarker Assay Flow from Research to Clinical Study

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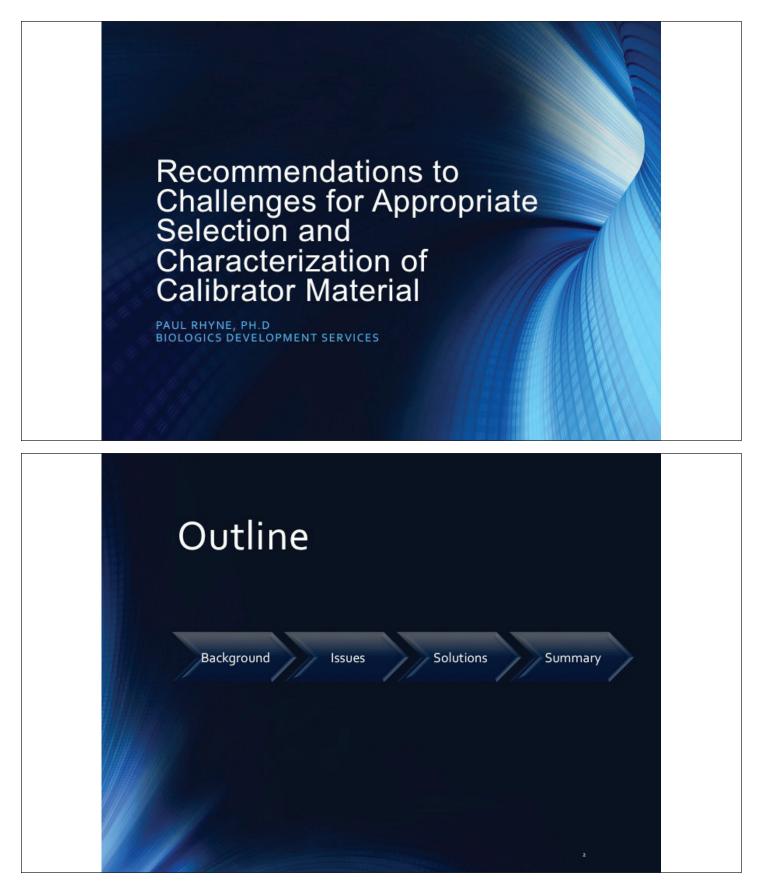
Concept appears simple, however, biomarker analysis is a considerable challenge
 What are the biggest challenges - technical, clinical, regulatory?
 What are the common accepted approaches?
 What is the best matrix to utilize?
 And few more
 Overall consensus is Biomarker assays are not PK assays
Chief GLOBAL INNOVATIVE PHARMA BUSINESS
•Let us begin

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Summary

- Study design and assay methodology Comprehensive strategy needed
- Diverse assays, require multidisciplinary team execution
- Bioanalysis is only one piece of the puzzle; consider overall biology
- Biomarker assays are not PK assays use scientific judgement to treat them accordingly.

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Building industry consensus at conferences

AAPS NBC 2014, 2015, 2016

Biomarker themes, topics

Crystal City V 2013

FDA draft guidance discussion

Crystal City VI 2015

- Biomarker assay discussion
- Lowes, Ackermann 2016
- 2nd Upcoming publication

WRIB 2014, 2015, 2016

Biomarker assay discussions



Consensus and industry white papers

Lee et al. 2005, 2006, 2009

Biomarker assay validation

O'Hara et al. 2012

Critical Reagents characterization

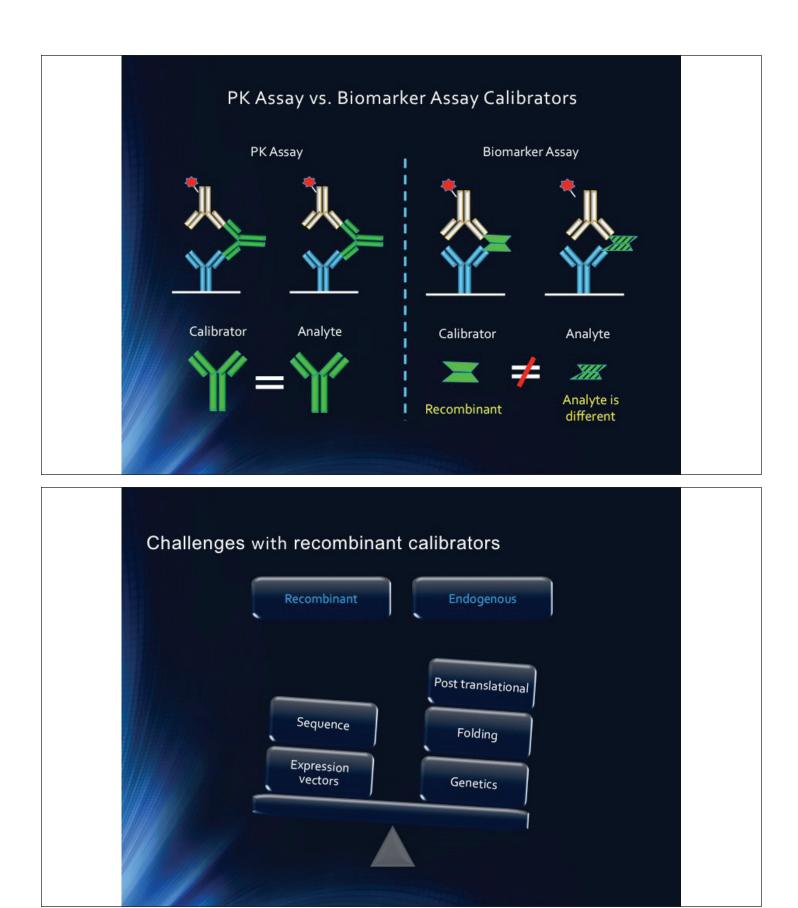
King et al. 2014

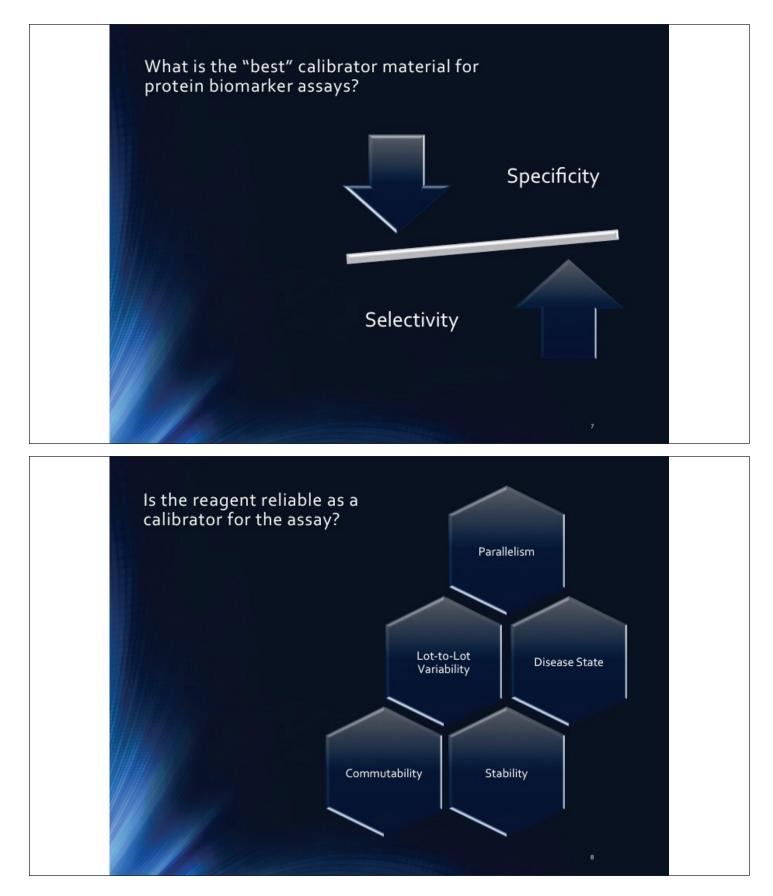
 GBC Harmonization white paper on critical reagents for LBAs

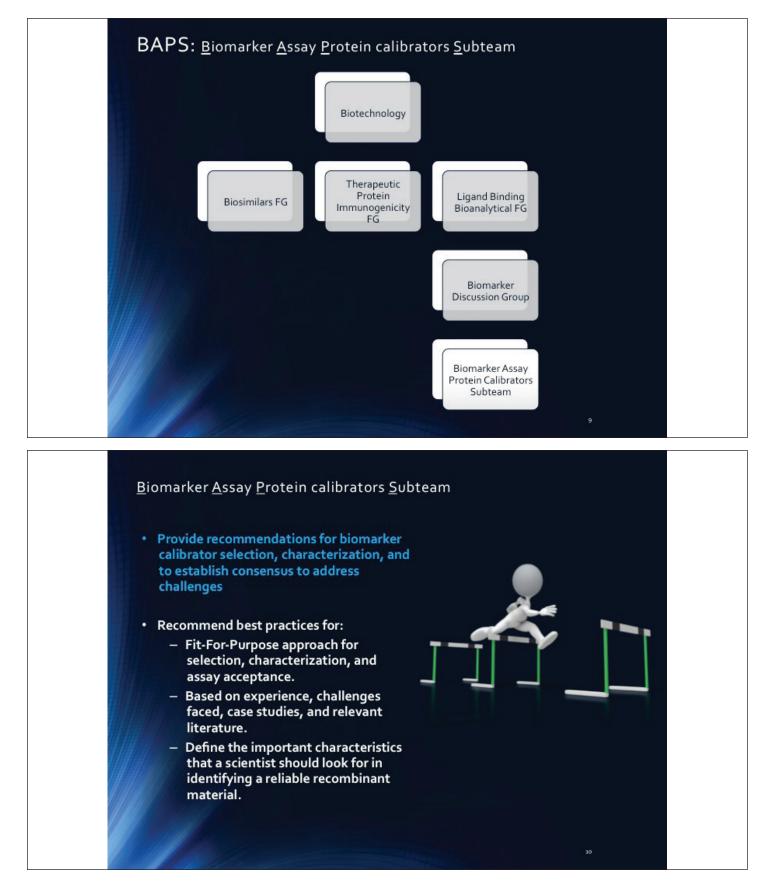
Bower et al. 2014

Commentary paper on reference standards and reagents in BMV











Know your endogenous protein biomarker



How much must the exogenous protein have similarity to the endogenous protein?

- Amino acid sequence
- Secondary, tertiary, quaternary structure?
- Monomeric/dimeric/oligomeric in nature?
- Protein cleavage or alternative splicing?
- "Total" protein: How is the different forms represented?

Know your endogenous protein biomarker

How much must the exogenous protein have similarity to the endogenous protein?

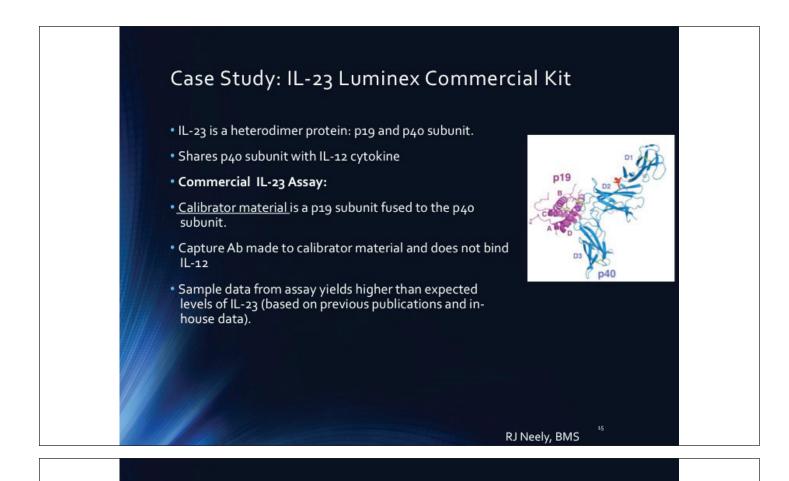
- Does it have a binding partner? Interaction or binding with the drug being tested?
- Are "misfolded" proteins reactive in the assay? Are they bioactive?
- Endogenous and exogenous comparison
 - Do the modifications or post-translational modifications match?
 - Glycosylation differences?
 - Isoforms?
 - Differences with His-tag, FLAG,-tag, GST fusion, etc.?



Know your protein biomarker

- Protein Database Resources and published biology
- Calibrator material should be as close to the endogenous form of the protein as possible; improves confidence for quantitative measurement
- Understand your assay and what it can/ cannot detect.





Case Study: Comparison of IL-23 assays (values reported in pg/ml)

Sample	Luminex Assay pg/mL	BMS Assay	3rd Party Vendor	
1	283	<9.6	0.047	
2	44.5	<9.6	0.111	
3	7004	13.4	0.084	
4	OOR <	<9.6	0.033	
5	102	<9.6	0.035	
6	396	<9.6	0.065	
7	60	<9.6	0.116	
8	1529	<9.6	0.011	
9	715	<9.6	0.247	
10	OOR <	<9.6	0.071	

Case Study: Comparison of IL-23 assays (values reported in pg/ml)

- Calibrator for Luminex assay is a fused p19/p40 heterodimer vs. calibrators for BMS and third party assay are formed ex vivo
- Capture antibodies are different
- Fusion protein may have p19/p40 heterodimers/aggregates
- Ex vivo material likely reflects endogenous more closely than fusion protein

Lessons Learned

Calibrator material should be as close to the endogenous form of the protein as possible
Make sure the analyte biology supports the results generated.

Jennifer Postelnek, RJ Neely, BMS

Information from Protein Manufacturers?

- Concentration of the protein
- Purity (such as a percentage, based on a silver stain or HPLC analysis)
- Source or origin (*E. coli* derived, for example)
- An accession number (providing basic information about the protein)
- Formulation (phosphate buffered saline, for example)



Storage and stability information.

Information from Protein Manufacturers?

Common method(s) used to assign a concentration to a protein product (*if provided*)

- Absorption spectroscopy
- Plate-based colorimetric assays or absorption spectroscopy
- Activity (units)

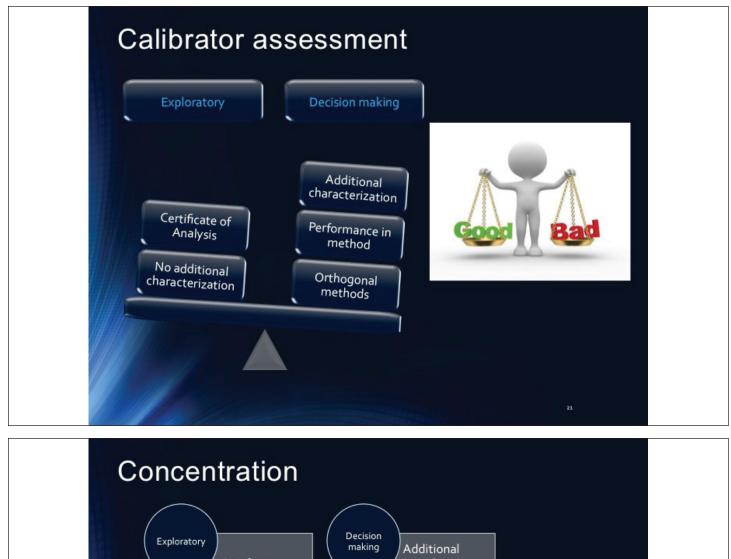


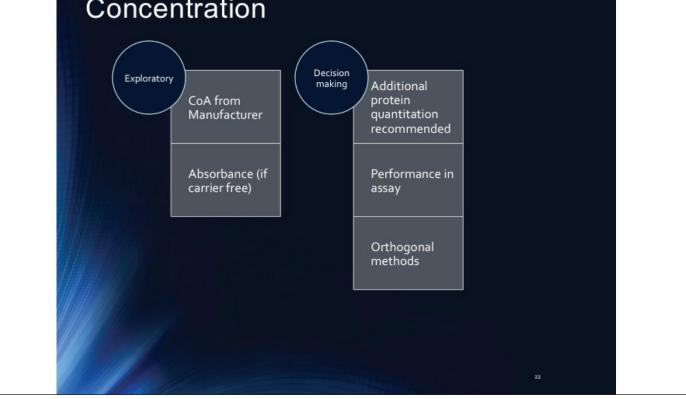
Recommendation: Contact technical support key to understand this information, including why the vendor selected a particular method over another.

Recommendations for selection of calibrators

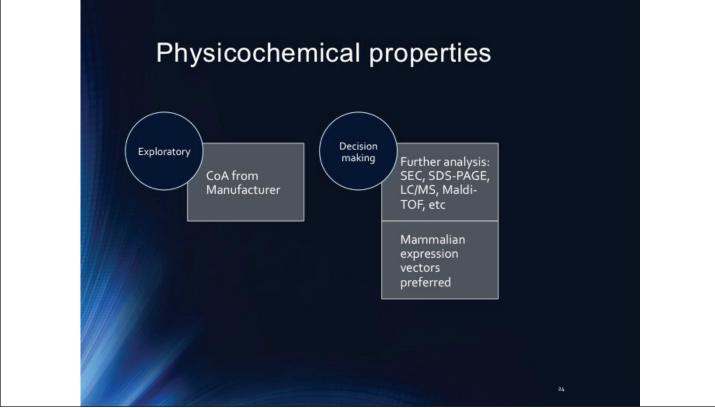
- Define the needed acceptance/rejection criteria (base on intended use of data)
- Determine if any, additional characterization should be done (Need vs nice to have)
- Identify the risks associated with the current characterization vs without additional characterization





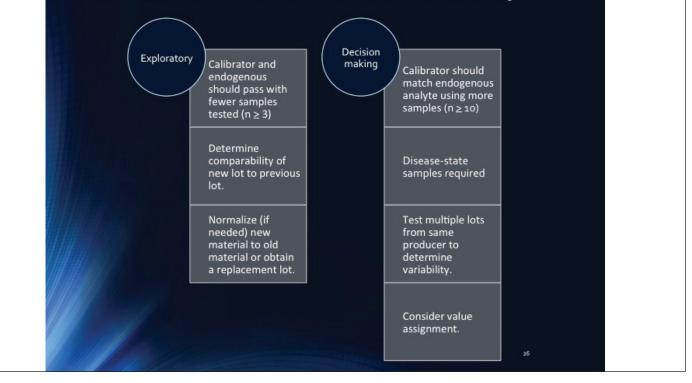


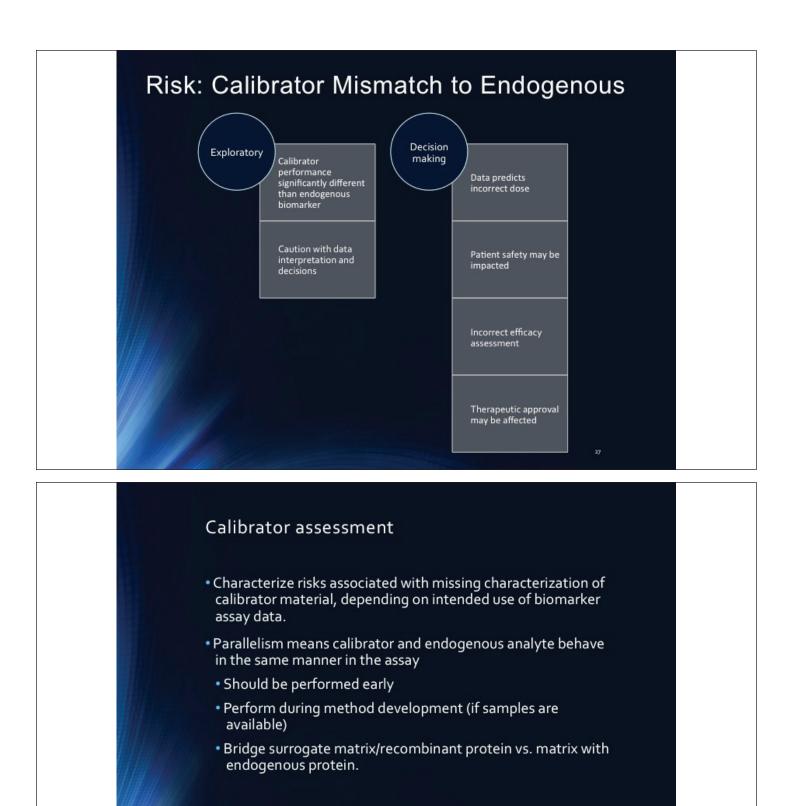




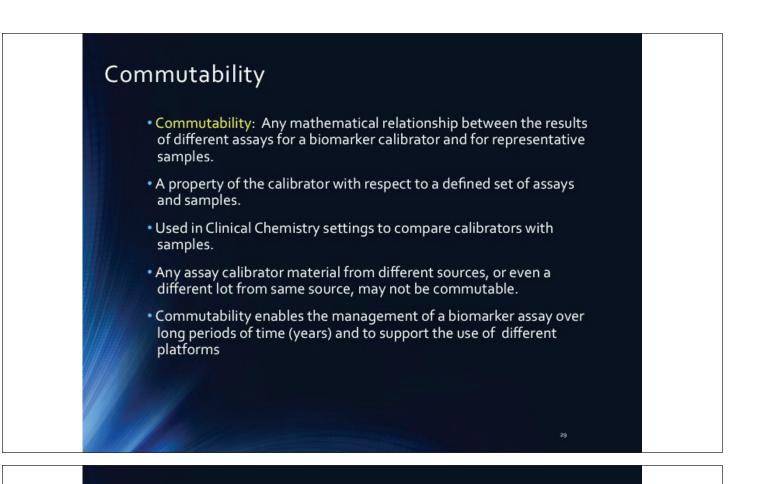


Parallelism and lot-to-lot variability





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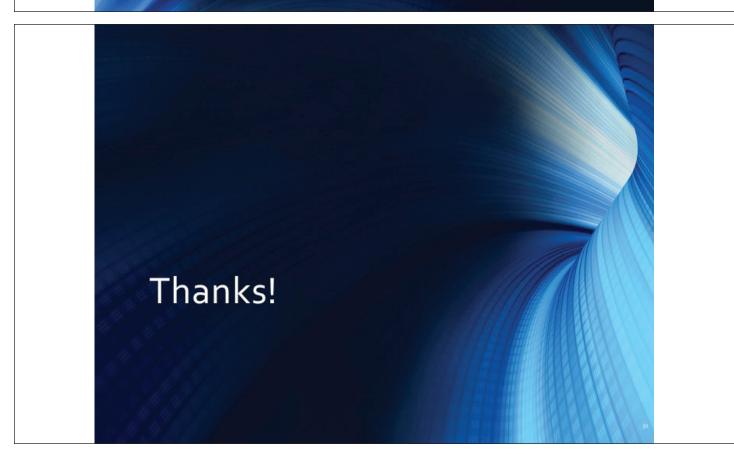
Summary

- Continue discussions on calibrators for protein biomarker assays
- Know your endogenous protein vs calibrator
 - Biology and binding partners
 - Structure, sequence, etc.
 - Stability and multi-merization
- Calibrator assessment
 - Base on intended use of data
 - Additional characterizations
 - Parallelism is recommended and perform early
- Commutability approaches recommended

Acknowledgements

- •Cross-Industry:
- Lakshmi Amaravadi
- Mark Cameron
- Damien Fink
- Darshana Jani
- Medha Kamat
- Lindsay King
- RJ Neely
- Yan Ni
- Paul Rhyne
- Renee Riffon
- Yuda Zhu





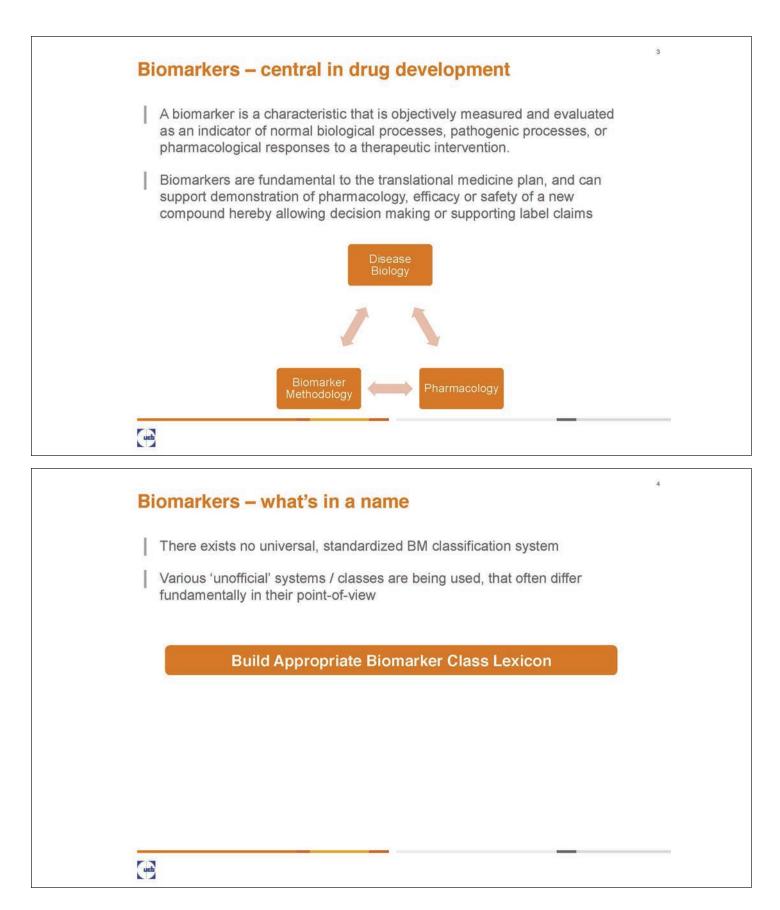
Hans Ulrichts, UCB Pharma

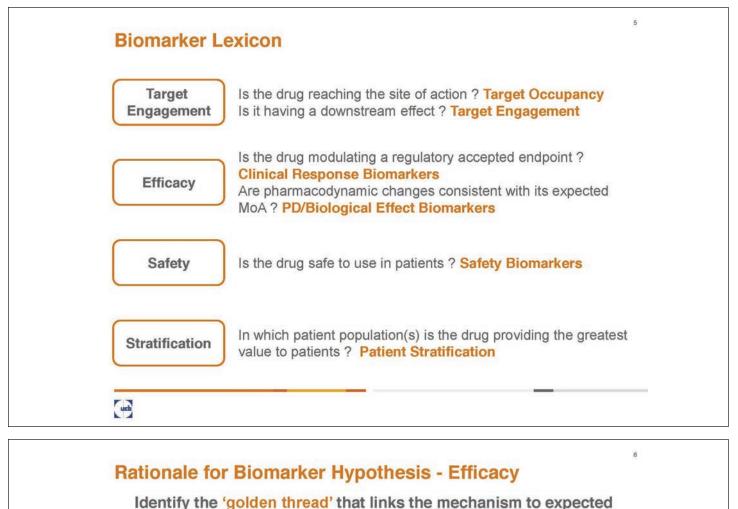


Agenda

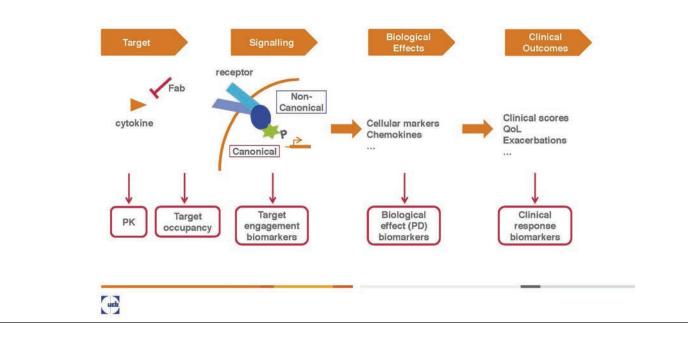
- Introduction
- Criteria for biomarker selection
- **Method selection**
- **Case Study**

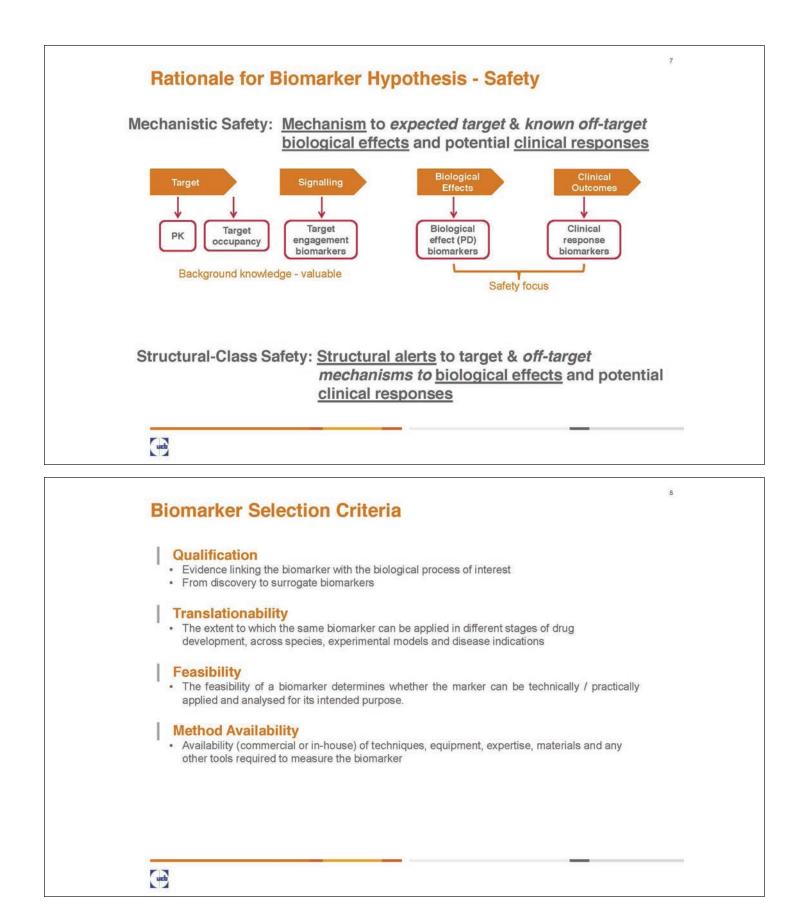
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biological effects and subsequently clinical response







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Qualification

- · Evidence linking the biomarker with the biological process of interest
- From discovery to surrogate biomarkers

Translationability

 The extent to which the same biomarker can be applied in different stages of drug development, across species and across experimental models

Feasibility

• The feasibility of a biomarker determines whether the marker can be technically / practically applied and analysed for its intended purpose.

Method Availability

Availability (commercial or in-house) of techniques, equipment, expertise, materials and any other tools required to measure the biomarker

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Feasibility - a checklist (1)

Parameter	Check	Example
Source or matrix	One source easier to procure than other	blood / plasma / serum > urine > sputum > synovial fluid (SF) and BALF
Sample size and volume	Depends on source Depends on species Depends on population	Smaller volumes for SF Paediatric development
Required/desired frequency of sampling	How soon are changes expected in BM levels	for a rapid onset, frequent sampling should be feasible for a very slow change in biomarker, study duration need to be very long

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Feasibility – a checklist (2)

Parameter	Check	Example MMPs are typically unstable and samples need to be handled on ice	
Stability of the biomarker	The sampling method, storage and shipment of the sample can affect the stability of the biomarker		
'Inherent' biomarker variation	influence of gender, race, diet, stress, diurnal variation	anticipated acute effects can be confounded by diurnal variation	

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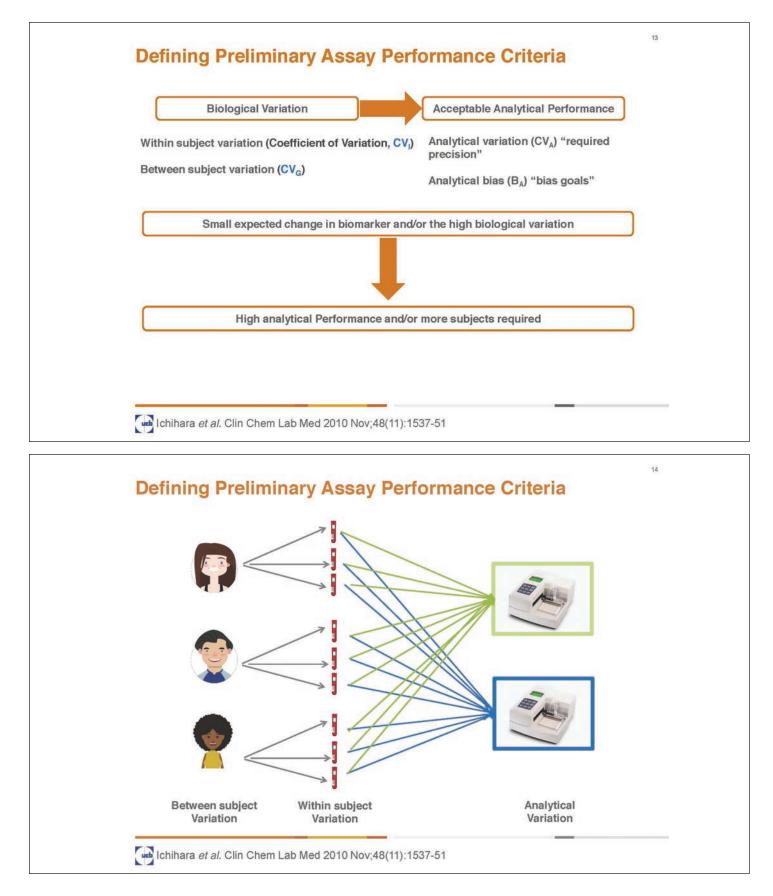
Method availability - a checklist (1)

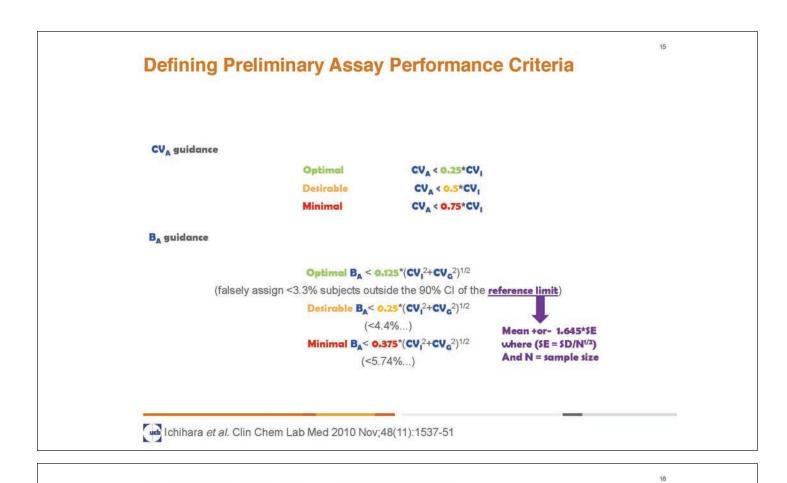
Parameter Check Example Method performance The sensitivity and · expected changes in characteristics BM levels upon drug dynamic range of a method administration (decrease or increase) healthy vs diseased • Accuracy and precision of What are the expected a method changes of a biomarker What is the inherent variation in a biomarker Method compatible for Commercial kits for serum, study matrix translate to urine Specificity of method Reproducibility of method Inter-lab variation for global trials

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Method availability - a checklist (2)

Parameter	Check	Example	
Method throughput	Number of samples that can be processed within certain periof of time		
Calibrator material	Resemblance to endogenous material	Plasma purified recombinant, pooled matrix,	
Regulatory environment	Can the method be run in a GLP/GcLP-compliant environment	Biomarkers supporting label claims	

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Assay formats

Ligand binding assays

platform	Sample volume	Sensitivity (ng/ml)	Matrix effect	Dynamic range	Cost
Elisa	≈ 10 ul	Moderate	Medium	2 logs	Low
MSD	≈ 10 ul	High	Low	3-4 logs	Medium
RIA	100- 500 ul	High	Low	2-3 logs	Low
AlphaLisa	5-100ul	High	High	2-3 logs	Medium
Gyrolab	≈ 5 ul	High	Low	3-4 logs	Medium
Singulex	5- 200 ul	Ultra	Low	3-4 logs	Medium
ImmunoPCR	≈ 2-10 ul	Ultra	High	3-4 logs	High
Luminex	≈ 10 ul	High	Medium	2-3 logs	Medium
Dudal et.al. AAPS	<u>J.</u> 2014 Mar;16(2):	194-205			_

Assay formats

Mass Spectrometric

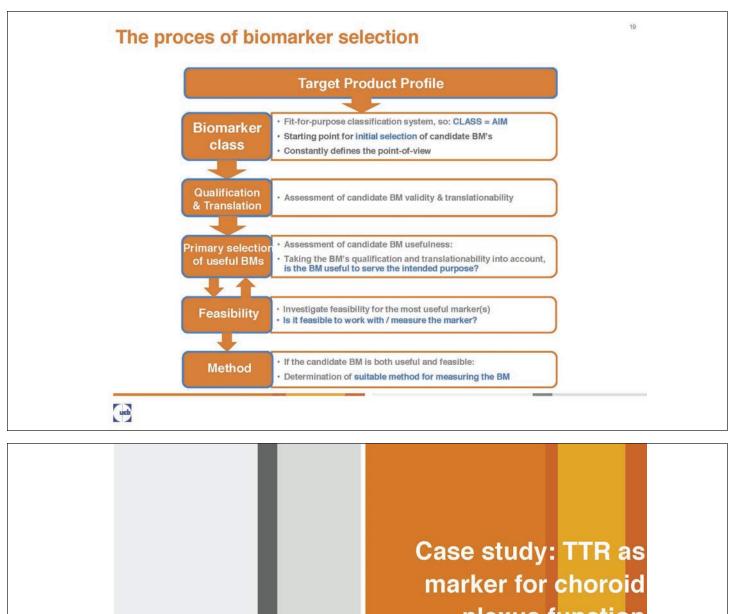
platform	Sample volume	Sensitivity (ng/ml)	Matrix effect*	Dynamic range	Cost
LC/MS (conventional)	≈ 20ul	High	Moderate	3-4 logs	Low
LC/MS (protein digestion)	≈ 10- 50ul	Moderate	Moderate	3-4 logs	Moderate
LC/MS (immunocapture)	≈ 10- 50ul	High	Low	3-4 logs	High
Nano-LC/MS	≈ 5- 20ul	High	Moderate	3-4 logs	Moderate

* Depending on sample pre-treatment

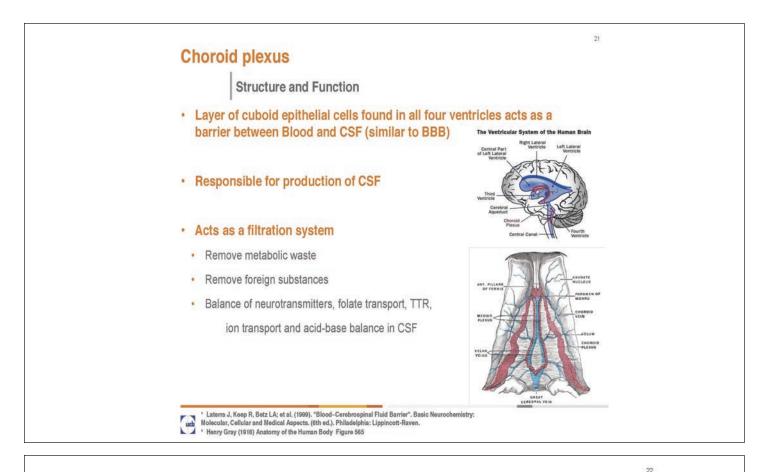
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18

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Case Study

Transthyretin as a biomarker of Choroid plexus function



Transthyretin (TTR)- (prealbumin)

- Functions as a transporter of thyroxine and retinol, which are essential in brain development
- 508 amino acids.
- Synthesized and secretion by CP (90%)
- Approximately 10% of CSF protein is TTR
- CSF-Blood Barrier prevents passive diffusion of serum TTR in CSF
- · Synthesis of serum TTR and CSF TTR is regulated independently

Plasma TTR / CSF TTR ratio as marker for unaffected CP function

Spector and Johanson Fluids and Barriers of the CNS 2013, 10:28

ParameterCheckSource or matrixPlasma CSF (similar concentrations of TTR in lumbar or ventricular CSF)Sample size and volumeLimited sample volume CSF also used for other assesmentsRequired/desired frequency of samplingChronic study, infrequent dosing If effect would be present, non-acute changes would be expected	 Study Objective Evaluate changes to TTR plasma plexus function in a juvenile 26wd Feasibility 	a/CSF ratio's as marker for choroid eek toxicity study
CSF (similar concentrations of TTR in lumbar or ventricular CSF)Sample size and volumeLimited sample volume CSF also used for other assessmentsRequired/desired frequency of samplingChronic study, infrequent dosing If effect would be present, non-acute	Parameter	Check
CSF also used for other assessments Required/desired frequency of sampling Chronic study, infrequent dosing If effect would be present, non-acute Chronic study	Source or matrix	CSF (similar concentrations of TTR in
If effect would be present, non-acute	Sample size and volume	
	Required/desired frequency of sampling	If effect would be present, non-acute
Stability of the biomarker Not known	Stability of the biomarker	Not known
'Inherent' biomarker variation Increase of CSF TTR levels, not serum T levels with age	'Inherent' biomarker variation	Increase of CSF TTR levels, not serum TTF levels with age

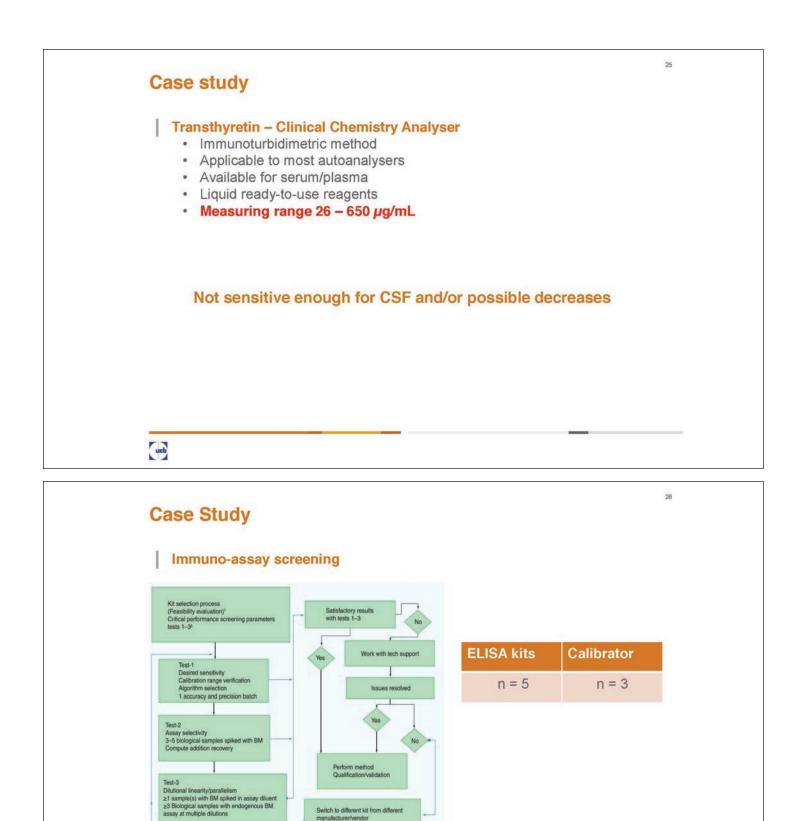
Case Study

Method availability

Parameter	Check
Method performance - sensitivity	Little information from cynomolgus monkey Plasma: 200-300 µg/mL, human CSF: 10-20 µg/mL, human
Method performance - dynamic range	Increase in CSF TTR levels if increased production Decrease in CSF if CP damage and affected homeostasis Neurological disorders: increase up to 100%, decrease up to -75%
Calibrator material	Cynomolgus monkey has 93% sequence homology with human

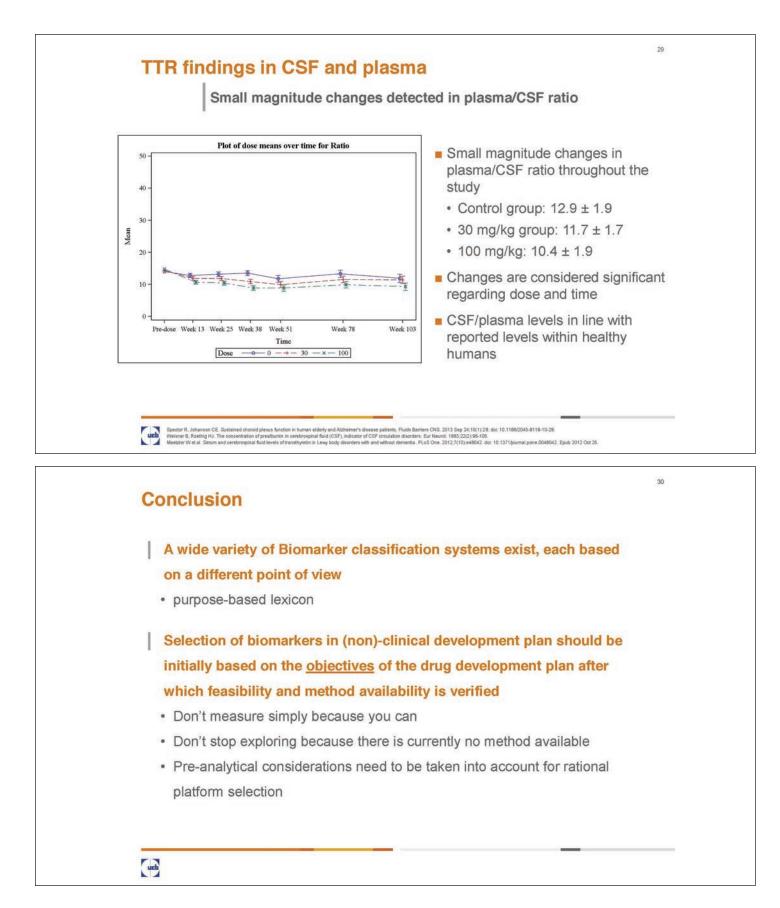
One single analytical platform for both plasma as CSF TTR levels

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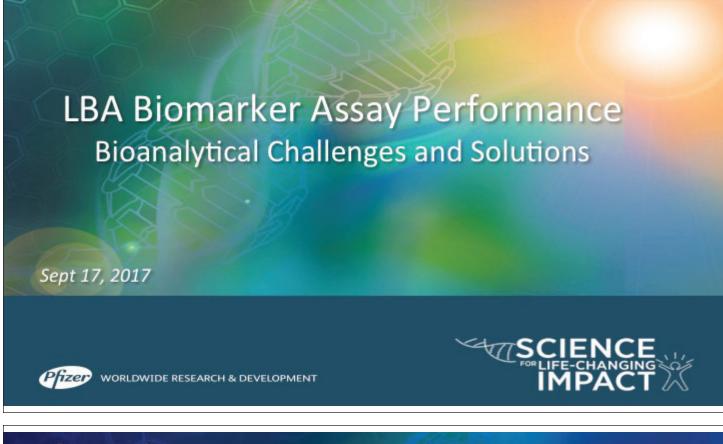
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Case Study				27
Immuno-assa	y screening			
Tiest-1 Desired sensity Calibration rance a solectivity solectivity biological samples spiked with Bit over addition recovery	No assa passe Perform method Qualification/validation			3
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Case study				28
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LC MS/MS • Trypsin dige:	stion of samples t cynomolgus monke	rTTR as calibra	tor material	
LC MS/MS • Trypsin dige:		rTTR as calibra	tor material	
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LC MS/MS • Trypsin dige:	t cynomolgus monkey Parameter Sensitivity	Result 2,5 μg/mL	tor material	
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LC MS/MS • Trypsin diges • Recombinan Parameter Between su	t cynomolgus monkey Parameter Sensitivity Precision (CV) Bias (RE %)	Result 2,5 µg/mL < 10.0%	Result	
 LC MS/MS Trypsin diges Recombinant Parameter Between su Within subject	t cynomolgus monkey Parameter Sensitivity Precision (CV) Bias (IRE %)	Result 2,5 µg/mL < 10.0%	Result < 15.0%	V _a ²) ^{1/2}





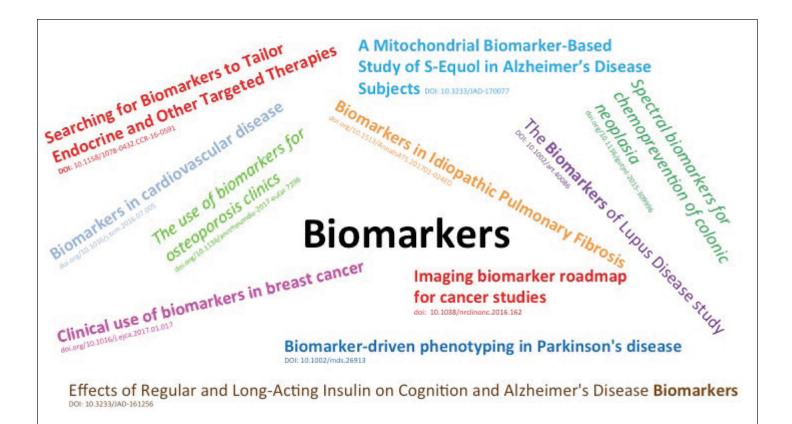
Stephanie Fraser, Pfizer



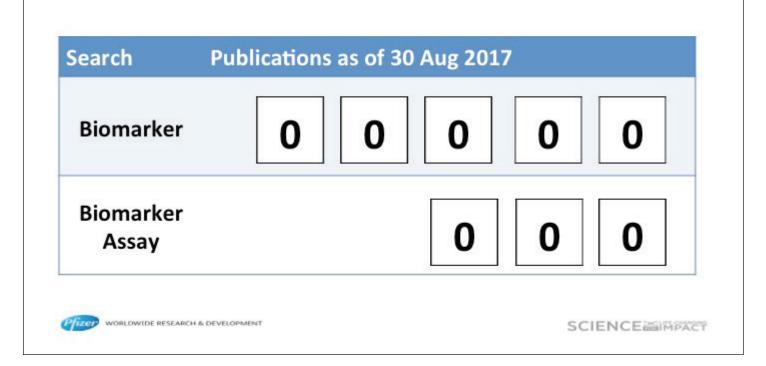
Overview

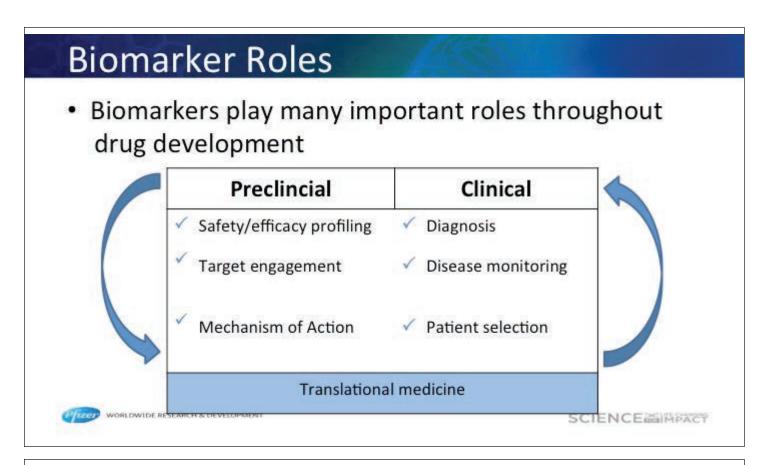
- Integration of Biomarkers in the Drug Development process
- Biomarker assay development and qualification and/or validation
- Case Studies:
 - -Matrix matters
 - -Stability is not translatable
 - -Conquer aggregates

VORLOWIDE RESEARCH & DEVELOPMENT



Google Scholar Title Search





Biomarker qualification or validation

 Assay development validation level depends on intended use of the biomarker data

Category I	Category 2	In Vitro Diagnostic
Exploratory endpoints for internal decision making	Definitive 1° or 2° endpoint that serves as surrogate of efficacy, safety or disease progression	Distinguish disease from healthy individuals
PD, MOA or hypothesis generation	Supports labeling claim	FDA approved, follows CLIA and CLSI guidelines

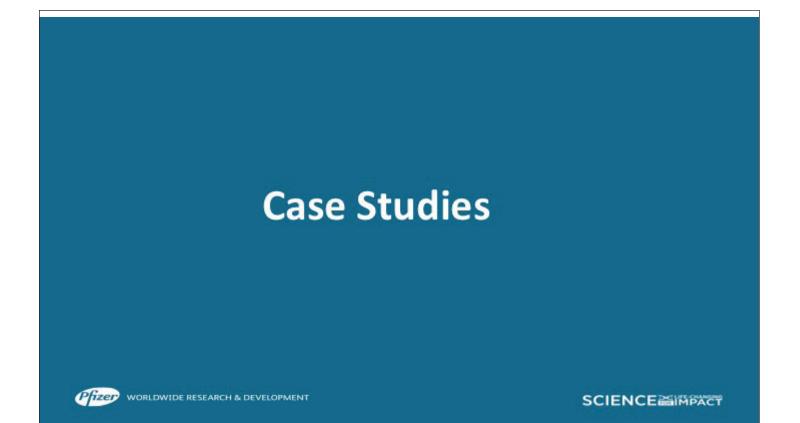
WORLDWIDE RESEARCH & DEVELOPME

		Fig. for During and	
Parameter	FDA Draft Guidance	Fit-for-Purpose Method Development and Validation for Successful Biomarker Measurement	Changes to consider
Precision Accuracy (relative)	5 replicates/concentration, 3 concentrations, recovery and CV tolerance are 20% or 25% at LLOQ, total error <30%	2-6 replicates per sample, 3-6 runs; a priori working criteria of CV and RE at 20%/25% for LLOQ and TE of 30%	Use patient samples to establish Precision.
Selectivity	Demonstrate lack of cross- reactivity, non-specific binding	Demonstrate lack of interfering substances (endogenous, disease state, con-meds)	Use parallelism across multiple individuals – eliminates reliance on reference materials ¹
Range	Non-linear, 6 non-zero points, covers LLOQ and ULOQ	6 non-zero points, covers LLOQ and ULOQ	Modified analytical measurement range: LLOQ at MRD – ULOQ at maximum dilution
Sensitivity	Lowest QC concentration measured with acceptable accuracy/precision	Lowest QC concentration measured with acceptable accuracy/precision	Estimate using parallelism for endogenous analytes ²
Stability	Use spiked QCs: Bench top, freeze thaw and storage	Use spiked QCs: Freeze/thaw, storage, benchtop	Use endogenous samples not reference material spikes ³
Parallelism	Evaluate to detect matrix effects	Use incurred samples: evaluate when calibrators are prepared in a surrogate matrix	Use samples with high endogenous concentrations for validation ²

Summary

- Biomarkers are clearly integrated into the drug development cycle
- Extent of biomarker development and validation depends on its context-of-use
- The landscape defining validation is evolving
- Biomarker validation is not \blacksquare

WORLDWIDE RESEARCH & DEVELOPMENT



Matrix Matters

- IL-17 A assay
 - Ultra-sensitive measurements are often necessary for humoral cytokine measurements
 - Cytokine concentration profiles may be altered in disease tissues
- Development of a novel biomarker
 - Variability of a soluble biomarker across multiple anticoagulants

WORLDWIDE RESEARCH & DEVELOPMENT

Donor	PASI	Serum (pg/mL)	Skin Biopsy IL17A Non-lesional	(pg/mL) IL17A Lesion
101	26.0	0.210	nd	179
102	21.6	0.114	nd	3.70
103	23.9	0.267	nd	nd
104	24.3	0.266	nd	3.50
105	23.9	1.93	nd	59.7

• Skin biopsies could be evaluated using one of many commercially available assays (MSD, R&D Systems, etc.)

WORLDWIDE RESEARCH & DEVELOPMENT

SCIENCE

Soluble biomarker X

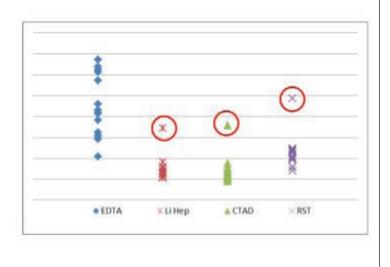
- We have been working to develop a novel biomarker in support of an ongoing project
- Initially assay development was conducted in K₂EDTA plasma
- Recurrent anomalous results led us to investigate the impact of anticoagulant on signal

WORLDWIDE RESEARCH & DEVELOPMENT

Soluble biomarker X

- 15 healthy volunteers
- Variable signal

 K₂EDTA is always highest
- · High signal is titratable
- K₂EDTA might be masking true response
- Natural history study to assess impact of matrix on signal from disease state samples



Pizer WORLDWIDE RESEARCH & DEVELOPMENT

Stability is not translatable

- Reference material spikes are often used to assess biomarker stability
- This may not be indicative of endogenous stability
- TGF-β1 stability
 - Spiked TGF- β1 into buffer and pooled urine; also evaluated fresh frozen diabetic urine samples
- IL-13 stability
 - established using incurred samples from an ongoing clincal study

WORLDWIDE RESEARCH & DEVELOPMENT

SCIENCE

Stability Samples	Sample Concentrations					
	Sample 1		Sample 2		Sample 3	
	Replicate 1	Replicate 2	Replicate 1	Replicate 2	Replicate 1	Replicate 2
Purified TGF-β1 s	pikes in Buff	er				
Pre-freeze/thaw	108	108	251	340	981	937
Post-freeze/thaw	119	94	257	296	966	1021
%Recovery	110	87	102	87	98	109
Purified TGF-β1 s	pikes in Urin	e				
Pre-freeze/thaw	133	119	124	1260	1280	1250
Post-freeze/thaw	93	78	76	921	871	892
%Recovery	70	66	61	73	68	71
Diabetic Urine Sa	mples					
Pre-freeze/thaw	151	172	196	147	51	282
Post-freeze/thaw	8	70	7	141	19	15
%Recovery	5	41	4	96	37	5

*Standard Deviation was 25% **Standard Deviation was 34%

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WORLDWIDE RESEARCH & DEVELOPMENT

IL-13 QC stability

 IL-13 sample stability was established using serum spiked with reference material

QC Concentration (pg/mL)	% Recovery Month 4	% Recovery Month 5	
20.3	112	57	
1.1	94	379	
%Recovery = (Concentration at Time/Initi	ial concentration)*100		
%Recovery = (Concentration at Time/Initi	ial concentration)*100		

IL-13 incurred sample stability

- · Some samples were stored beyond established stability
- Incurred samples were analyzed to confirm QC sample stability

Sample	Initial Conc. pg/mL	Reassay Conc. pg/mL	% Differenc Original C		Months in Storage
1	7.5	0.3	95		9
2	0.3	0.3	-2	1	8
3	0.5	0.4	24	1	14
4	5.8	0.4	93		9
5	0.4	0.4	21	1	14
6	0.8	0.8	1	1	15
7	0.9	0.7	25	1	8
8	0.9	0.9	-2	1	(15)

- 6 of 8 incurred samples met the acceptance criteria of ± 30%
- Stability was extended to 15 months

WORLDWIDE RESEARCH & DEVELOPMENT

SCIENCE

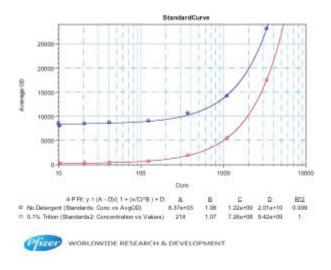
Conquer Aggregates

- We have worked with several assays that perform fine until - suddenly they don't
- Often these assays have one or more reagents stored at high concentrations
- We have found that making intermediate dilutions in an assay amenable detergent often restores assay performance

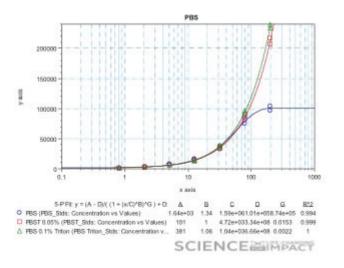
1200 WORLDWIDE RESEARCH & DEVELOPMENT

Restoration of assay performance

- Intermittent high background obscured true sample concentrations
- Adding 0.1% Triton to reference material recovered the assay



- Intermittent curve failure at high concentrations
- Adding 0.05% TWEEN to capture antibody dilution recovered the assay



References

¹Valentin MA, Ma S, Zhao A, Legay F, Avrameas A. Validation of immunoassay for protein biomarkers: 1232 bioanalytical study plan implementation to support preclinical and clinical studies. J. Pharm. 1233 Biomed. Anal. 55(5), 869–877 (2011).

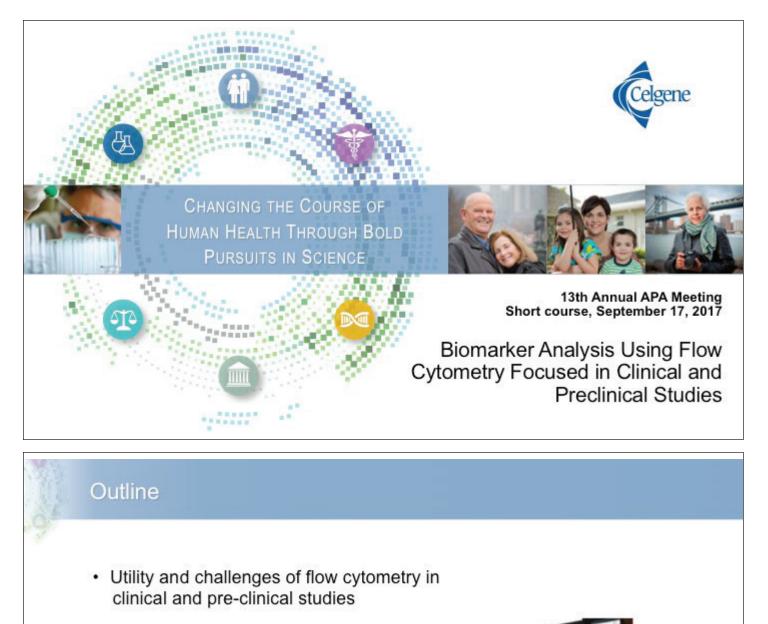
² Stephenson LF, Purushothama S. Parallelism: considerations for the development, validation and implementation of PK and biomarker ligand-binding assays. Bioanalysis. 6(2), 185-198 (2014).

³Fraser SA, Fleener C, Ogborne K, Soderstrom C. When close is not close enough: a comparison of endogenous and recombinant biomarker stability samples. Bioanalysis. 7(11), 1355-1360 (2015).

⁴Soderstrom C, Berstein G, Zhang W, Valdez H, Fitz L, Kuhn M, Fraser S. Ultra-sensitive measurement of IL-17A and IL-17A/F in psoriasis patient serum and skin. AAPS Journal. 19(4), 1218-1222 (2017).

Pficer WORLDWIDE RESEARCH & DEVELOPMENT

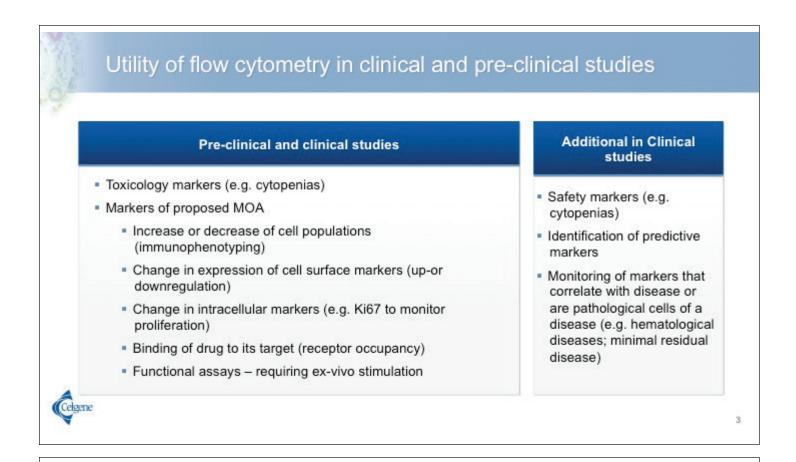
Martin Schwickart, Celgene



- · Receptor occupancy case study:
 - Assay development
 - · Data in preclinical study
 - · Sources of interference
- Data analysis/dealing with variability
 - Data normalization
 - Determination of variability

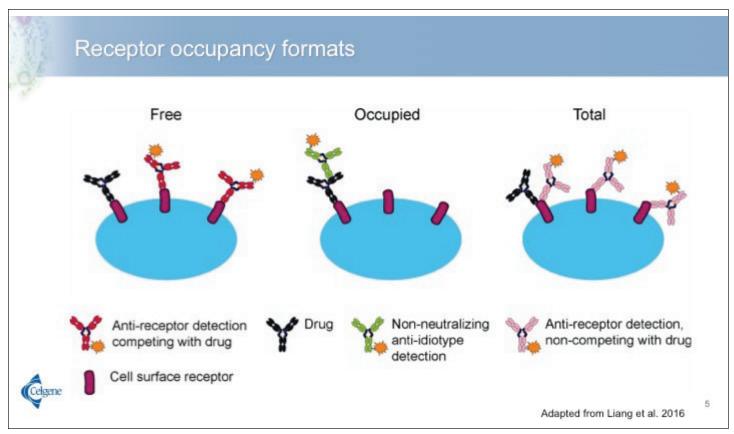


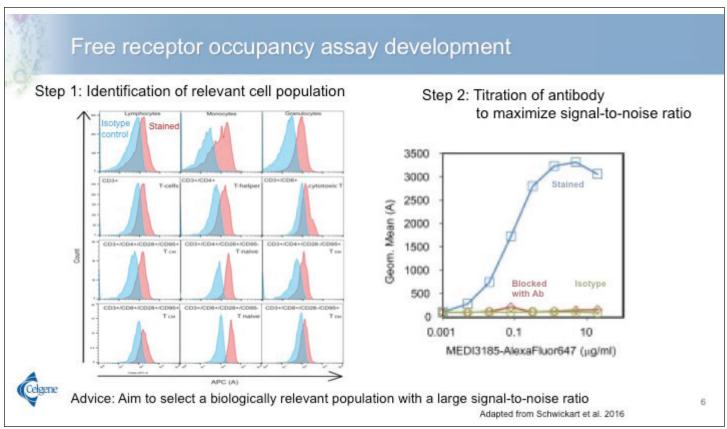


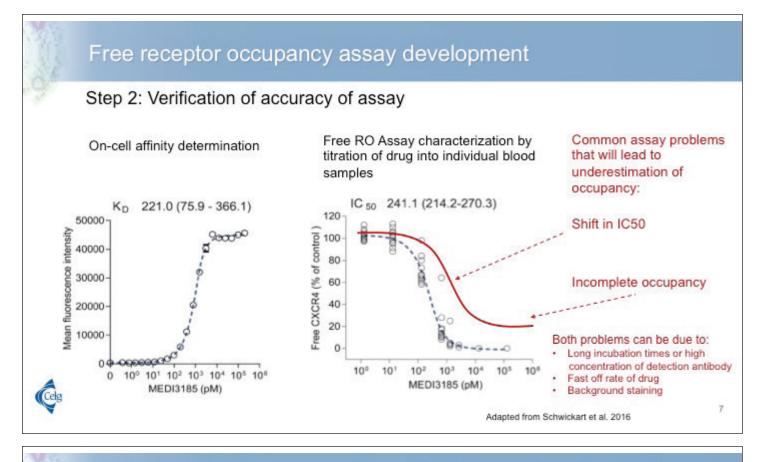


Where to I get my assay from and how difficult is it to develop/ perform?

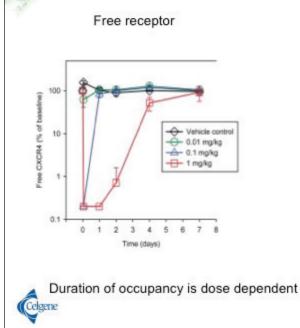
Assay	Source	Difficulty
 Immunophenotyping 	 Many standard assay available at clinical and non-clinical CRO 	- Low
 Intracellular markers 	 Clinical and non-clinical CRO 	 Medium
 Receptor occupancy and surface expression Functional assays 	 Typically developed in- house and can be outsourced to a competent CRO 	- High



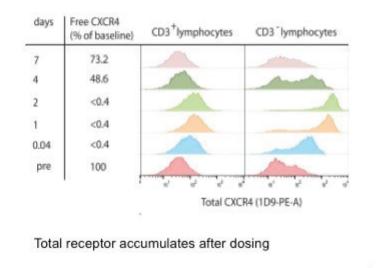




Study Result: The therapeutic antibody occupies all receptors (>99.6%) and leads to increase of surface receptor

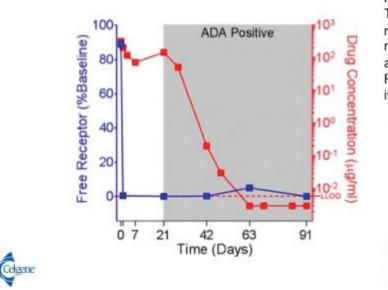


Total receptor (free and occupied) at single dose 1mg/kg

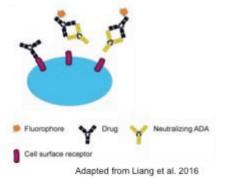


Adapted from Schwickart et al. 2016

Risk of using the labeled drug as detection reagent for free assay: Anti-Drug antibodies (ADA) assay interference

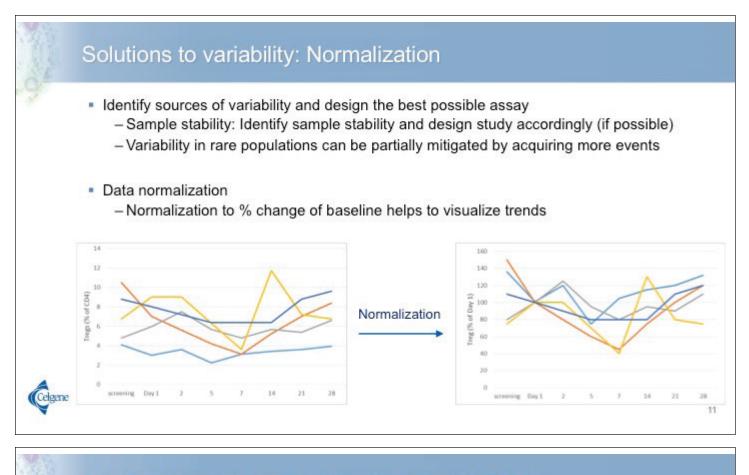


Paradoxical result in presence of ADA: The receptor appears fully occupied (low free receptor), however, drug is not present. This is most likely due to ADA blocking the detection antibody in the assay, which is the labeled drug. Results after appearance of ADA are not reliable if the detection antibody is the labeled drug!



9

Data analysis considerations: Variability **Technical variability Biological variability** AKA assay variability Difference between two patients is usually greater than between Can be characterized by the method two animals (disease, age, sex, validation etc.) Inter-assay variability (%CV) is most Longitudinal variability – changes informative over time Rare cell populations are more . variable than abundant populations 4500 e.g. % CD45+ CD3+ CD8+ 4000 3500 Å 3000 \$ 2500 2000 Sample 1 Sample 2 10

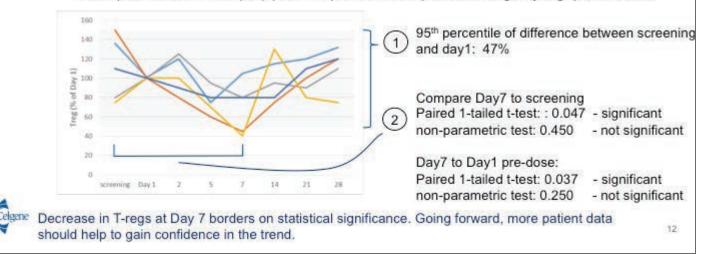


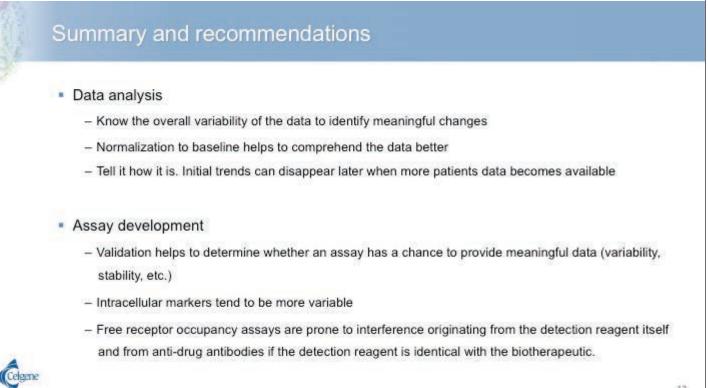
Solutions to variability: Interpretation of datasets

Identify the relevant variability in study

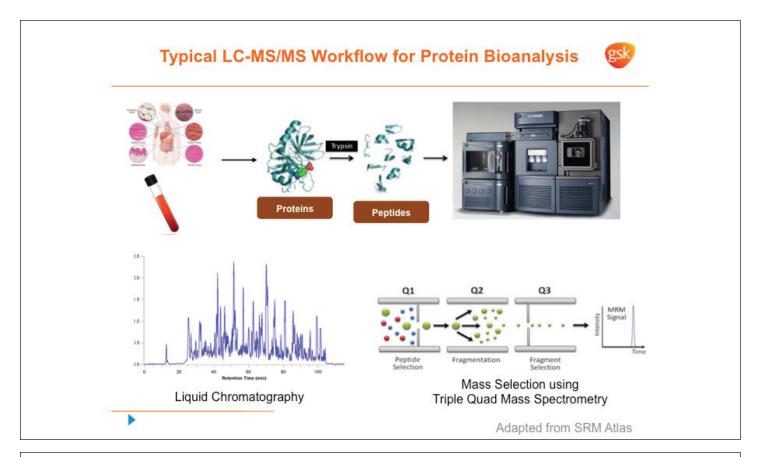
 The variability between two baseline samples of each animal/patient defines the relevant combined variability and only changes greater than that are reliable

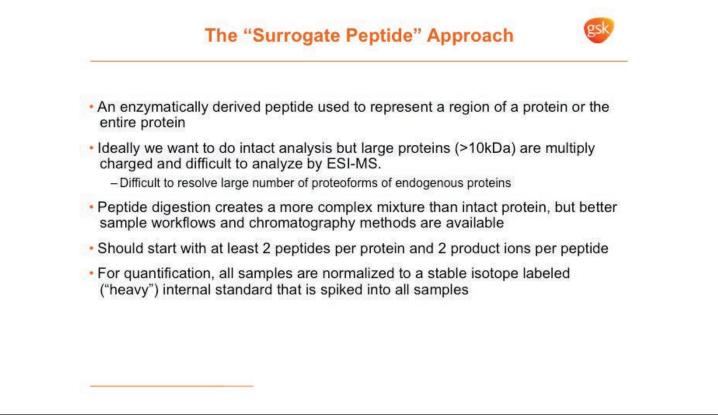
- Define the % change between two baseline samples
- Compare baseline sample(s) to time point with suspected change by e.g. paired t-test

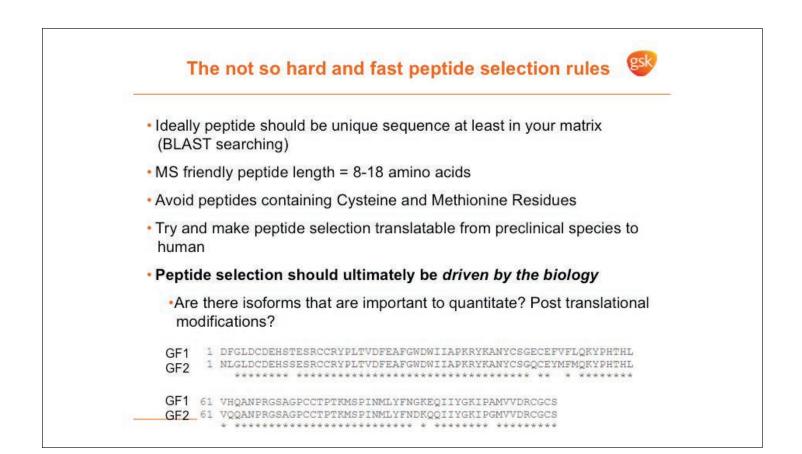


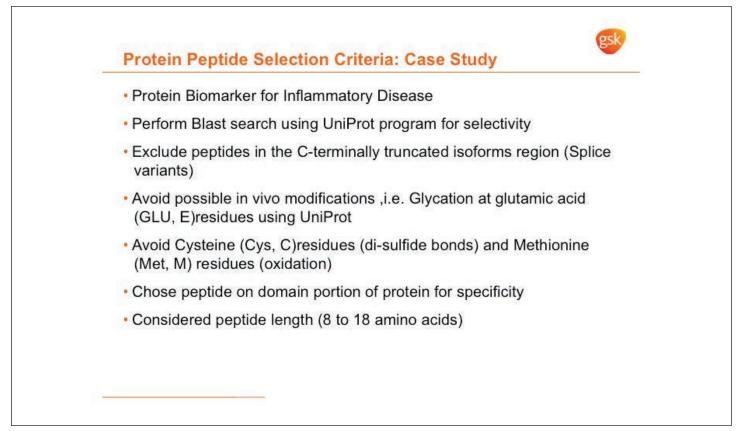


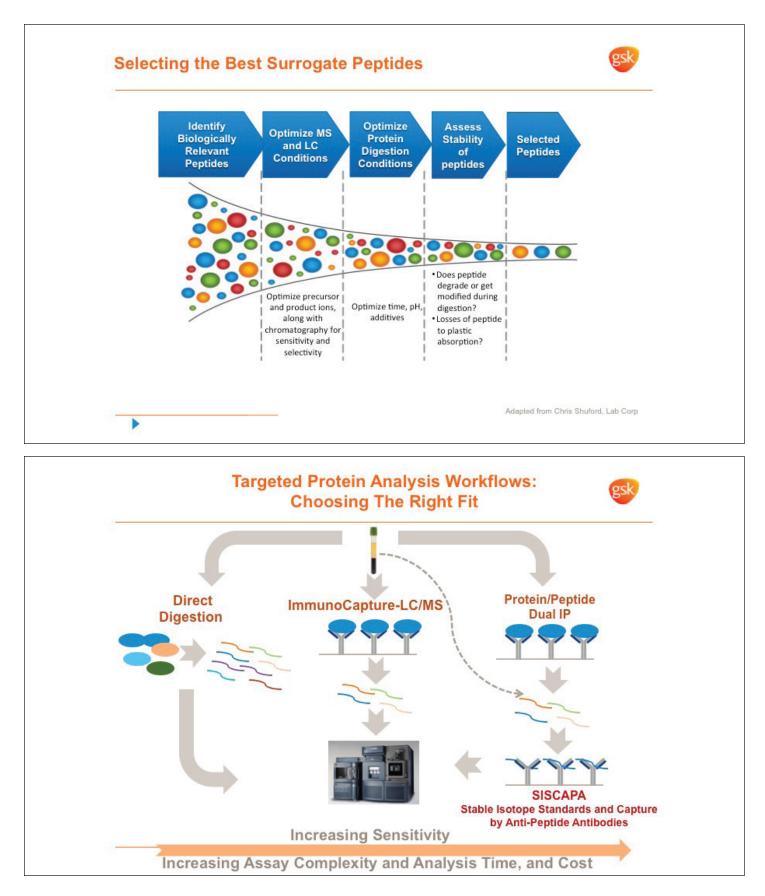


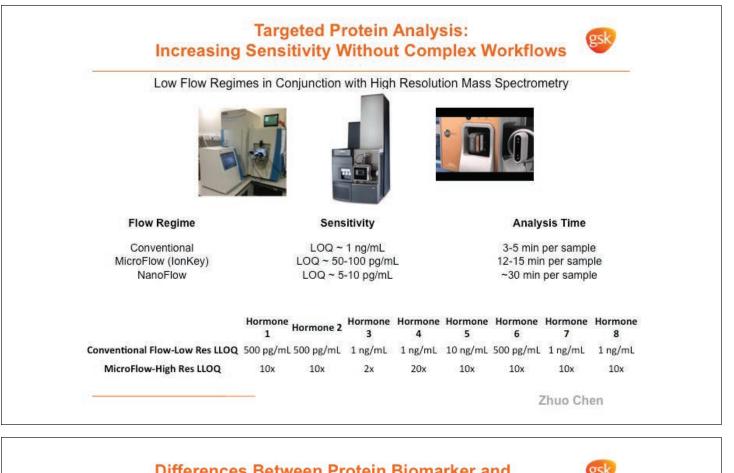






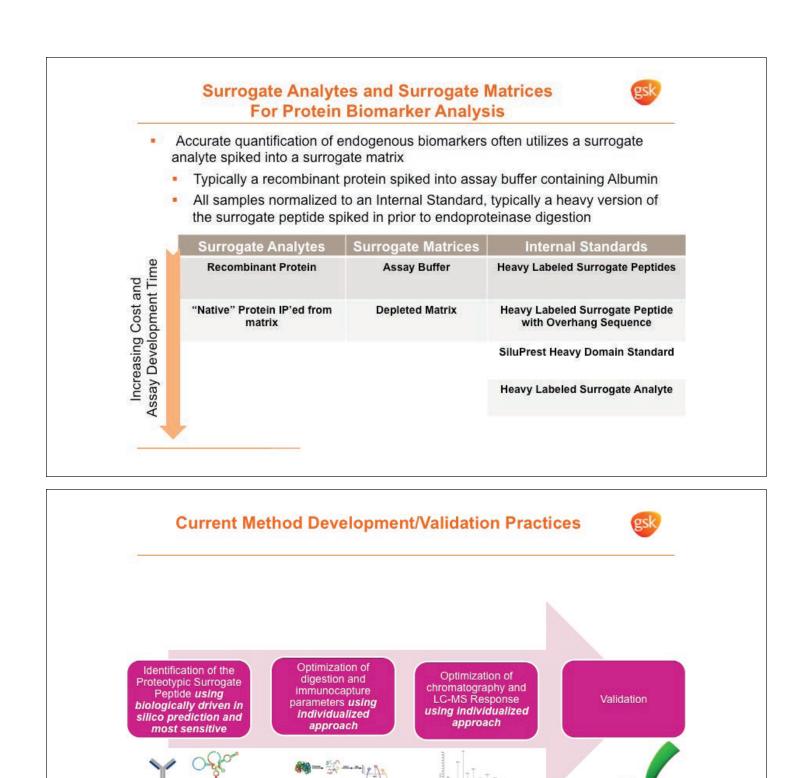


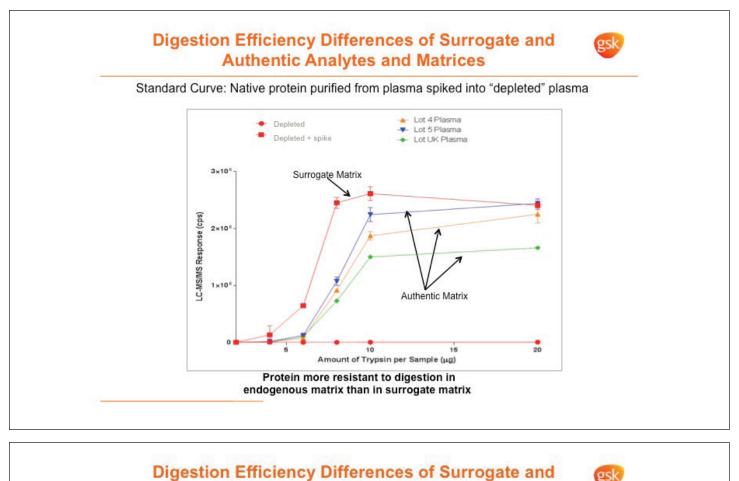


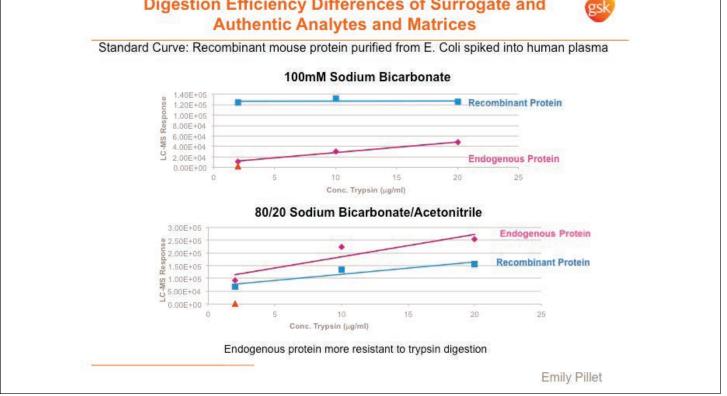


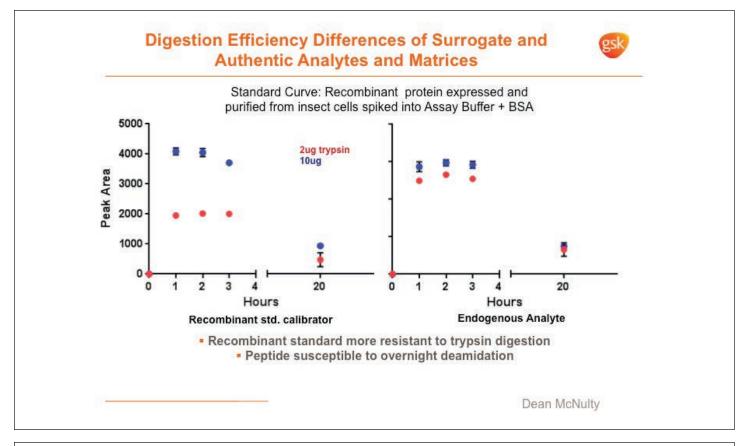
Differences Between Protein Biomarker and
Biotherapeutic Method Development

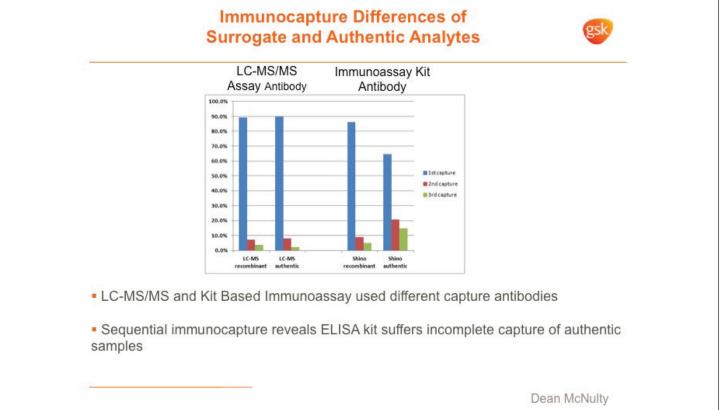
Parameter	Biotherapeutic Assay	Biomarker Assay
Assay Method	Absolute Quantification	Absolute or Relative Quantification
Nature of Analyte	Exogenous	Endogenous
Specificity	Drugs are not present in sample matrix	Biomarkers present in sample matrix
QC	Certified standard and blank patient sample matrix available	Certified standard and blank patient sample matrix usually not available
Sensitivity/Range	Higher LLOQ Greater Dynamic Range	Lower LLOQ Less Dynamic Range

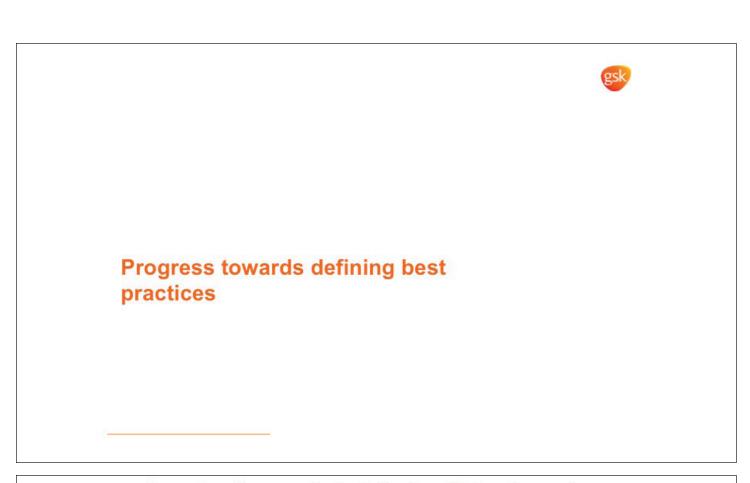


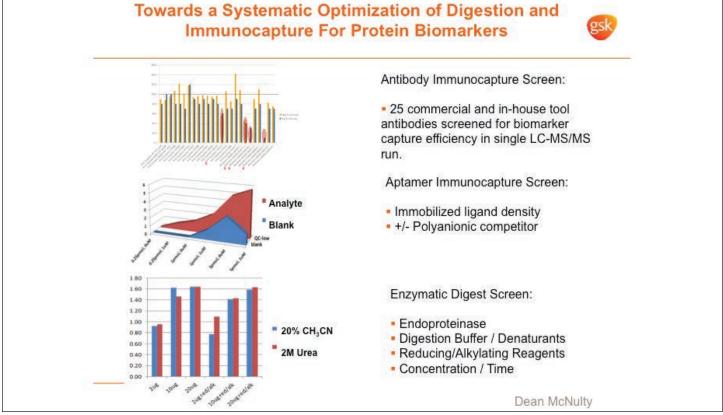


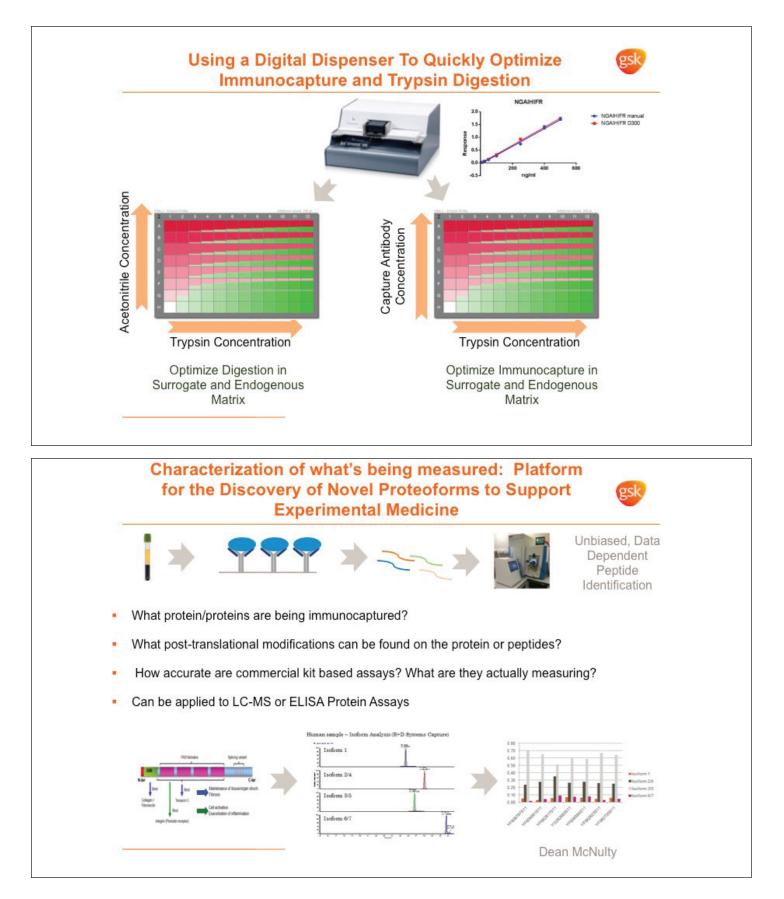




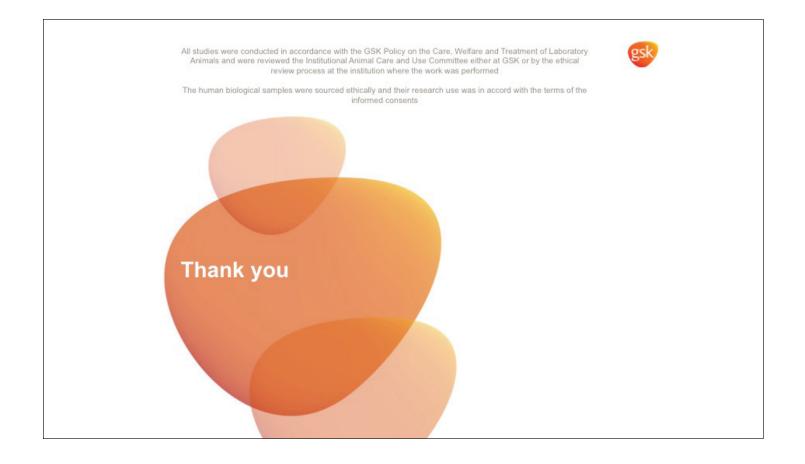




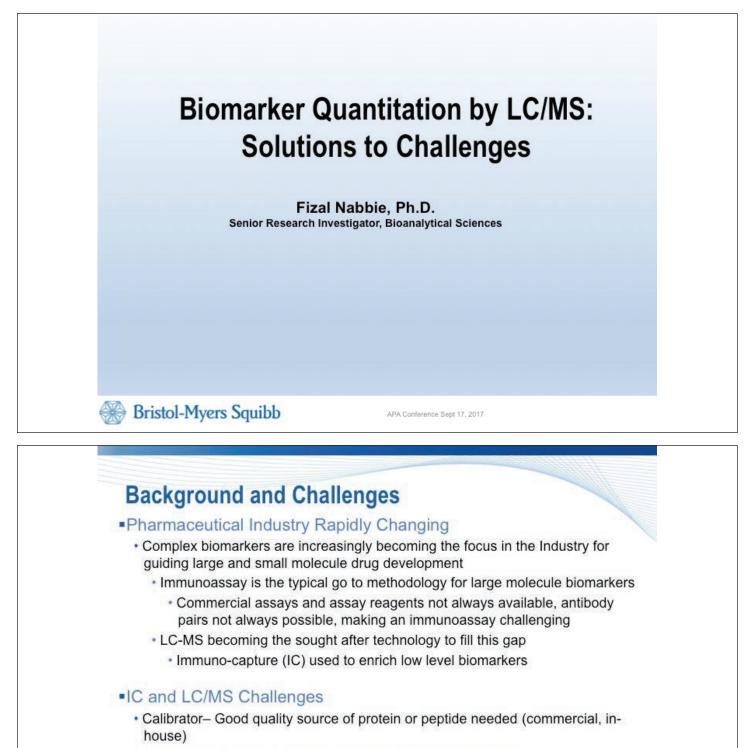








Fizal Nabbie, BMS



- Free vs Total assays (presents additional reagent challenges)
 - · Free Measure biomarker not bound to drug
 - Total assay Measure both drug-bound and unbound biomarker



APA Conference Sept 17, 2017

Ligand Binding Assay vs Hybrid IC-LC/MS

LBA	IC-LC-MS	
Sandwich ELISA capture and detect with protein specific antibodies	LBA capture with LC/MS detection methodology	
High throughput	Lower throughput	
Easy to run, easy to transfer to CROs for global studies	Expensive specialized equipment – requires highly skilled personnel; may require larger quantities of reagents	
High sensitivity technology	Sensitivity improving over time	
Adequate specificity	High specificity; can differentiate different isoforms, glycosylated vs. non-glycosylated; can work at peptide level	
Dynamic range – adequate or large depending on platform	Large dynamic range	
Requires multiple binding sites, up to three for total (drug-bound) assays	Requires one binding partner and identification of signature peptide	
All dependent on quality reagents w	which is a long and expensive process	
Bristol-Myers Squibb	APA Conference Sept 17, 2017	

IC-LC/MS: As a Solution to LBA Challenges

Advantages

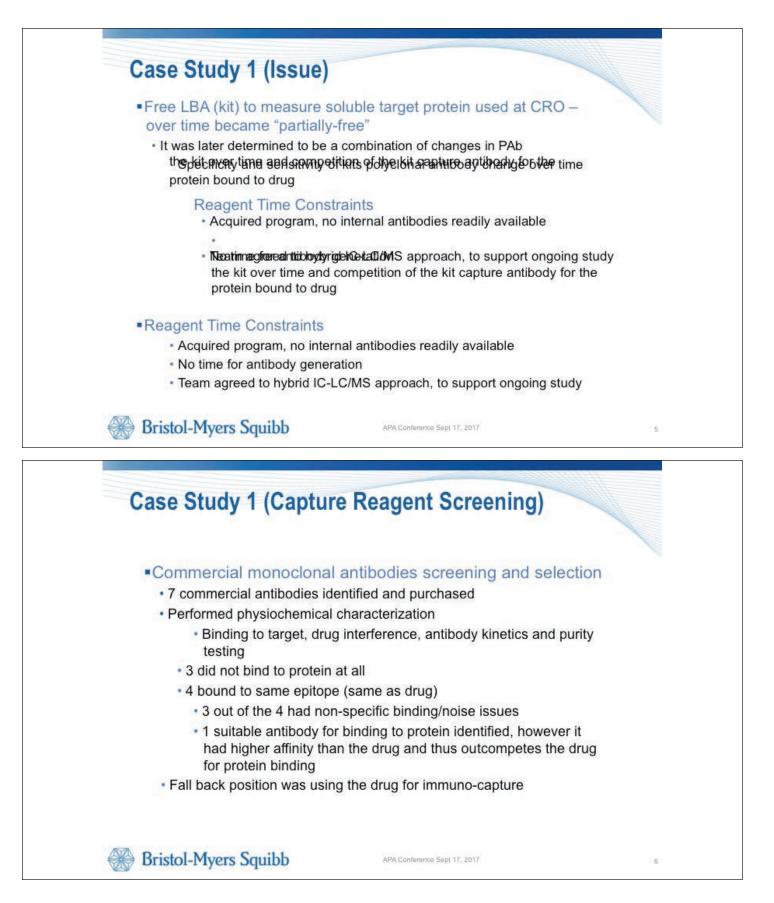
- · Can work with only one specific antibody (depending on needs)
- Biomarker enrichment improves specificity and lowers background
- · Can develop quickly compared to sandwich immunoassay
- Protein digestion offers multiple peptides for quantitation

Disadvantages

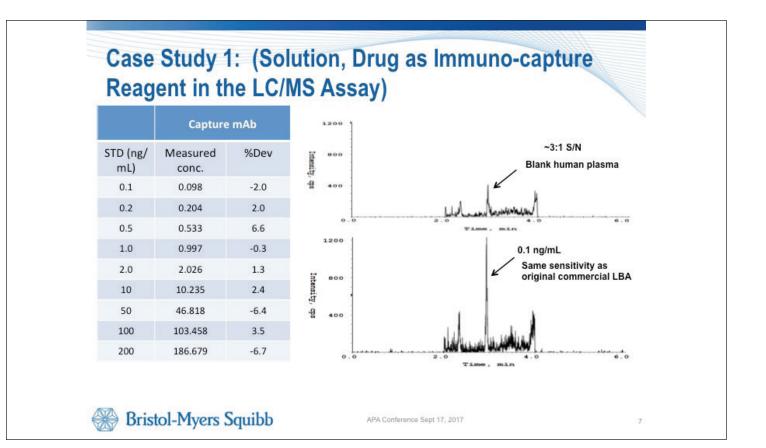
- Instruments expensive to purchase and maintain (expertise needed to run)
- Quality source of calibrator protein or peptides needed (this can add to development time to obtain)
- · Enrichment only as good as the capture antibody

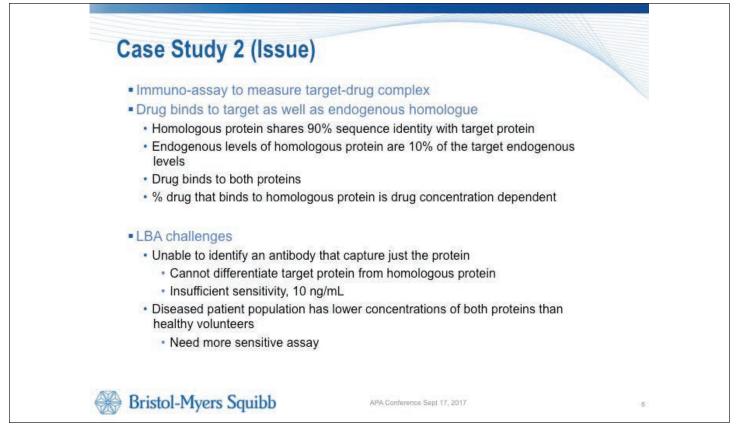


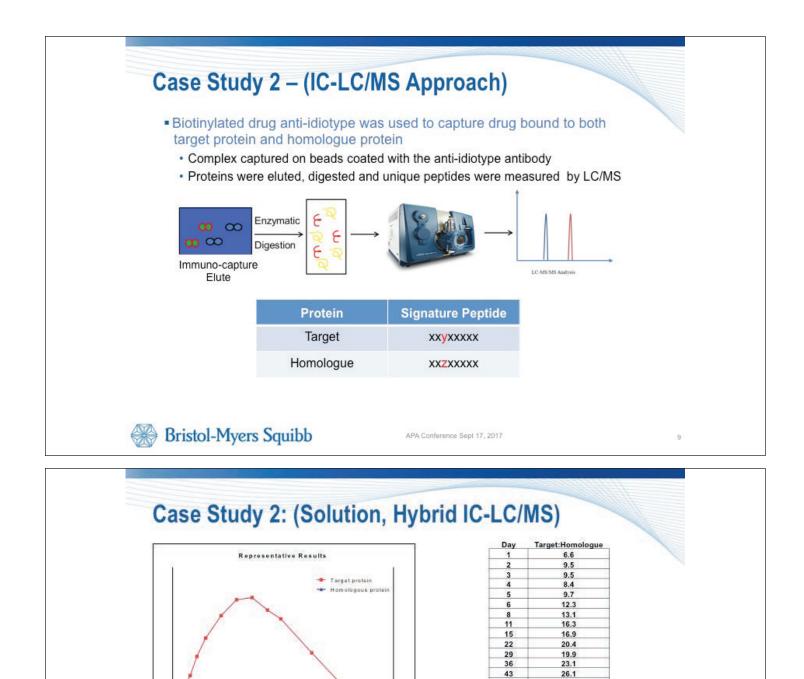
APA Conference Sept 17, 2017

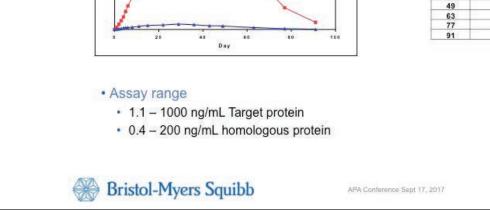


74 | PAGE









25.4

29.2

27.7



 Measure a specific truncated form of a native protein in the presence of multiple forms of this protein in clinical samples

 Attempts at generating a specific antibody to bind only to the specific truncated form of the native protein of interest was successful

· A pair of antibodies suitable for sandwich LBA assay was not achieved.

Proposed IC-LC/MS Approach

- · Screen commercial and in-house antibodies
- Use best binding antibody to immuno-capture the specific truncated form of the native protein in the complex sample matrix
- · Elute / digest / and measure unique peptide for the truncated protein by LC/MS
 - Peptides from 4 different domains of the native full length protein was also monitored in the MS to confirm that only the specific protein of interest was captured.

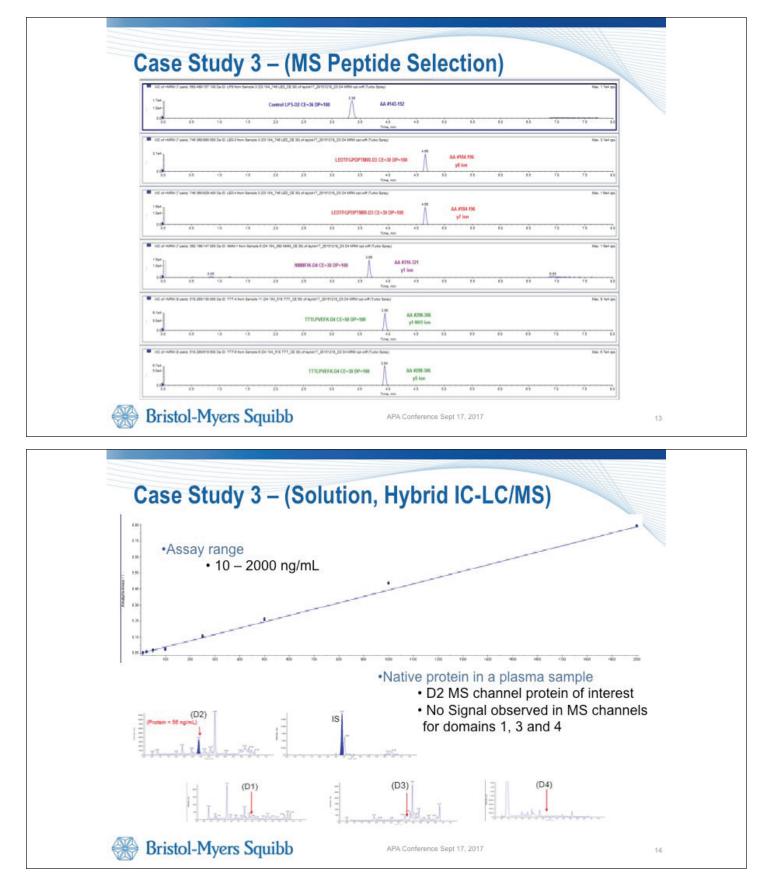


APA Conference Sept 17, 2017

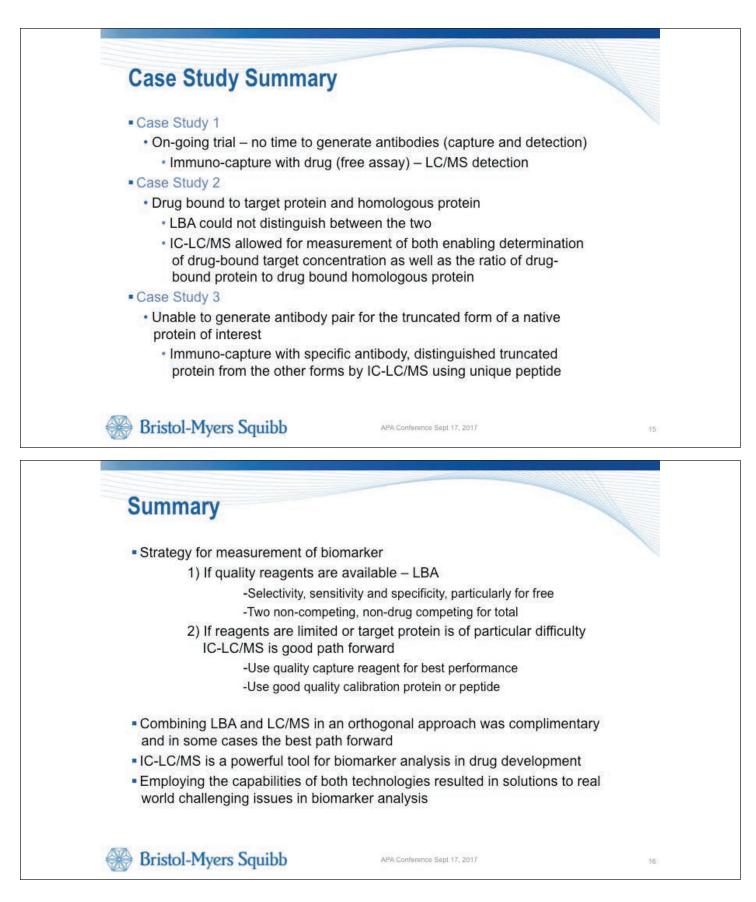
Case Study 3 (Reagent Screening) Internal and Commercial Reagents Screened 30 commercial and In-house antibodies screened, only two Abs were identified and the in-house antibody was the stronger binder to the specific native protein of interest.

	Protein A	Protein B	Protein C	Protein D	In-House Protein E
Vendor #1	+/-	+		+/-	
Vendor #2	+++		+	++++	
Vendor #3		++			
Vendor #4			+/		
Vendor #5	+	+/		+	+/-
Vendor #6	+/-			+	
Vendor #7		++			
Vendor #8					
In House Ab					+/-

12



78 | PAGE







A Regulatory Perspective on Biomarkers for Pivotal Studies: Method Validation, Sample Analysis and Inspections

John A. Kadavil, Ph.D.

Lead Pharmacologist (Team Lead) Collaboration, Risk Evaluation & Surveillance Team (CREST) Office of Study Integrity and Surveillance, CDER, U.S. FDA

> September 17, 2017 13th Annual APA Meeting – Providence, RI

Disclaimer

This presentation reflects the views of the author and should not be construed to represent FDA's views or policies.

Background: Guidance Documents

- 2001 Guidance for Industry: Bioanalytical Method Validation
 No direct comment on "biomarkers"
- 2013 Revised Draft Guidance for Industry: Bioanalytical Method Validation
 - Inclusion of biomarker assays
 - Method validation "should address the same questions" as those for PK assays

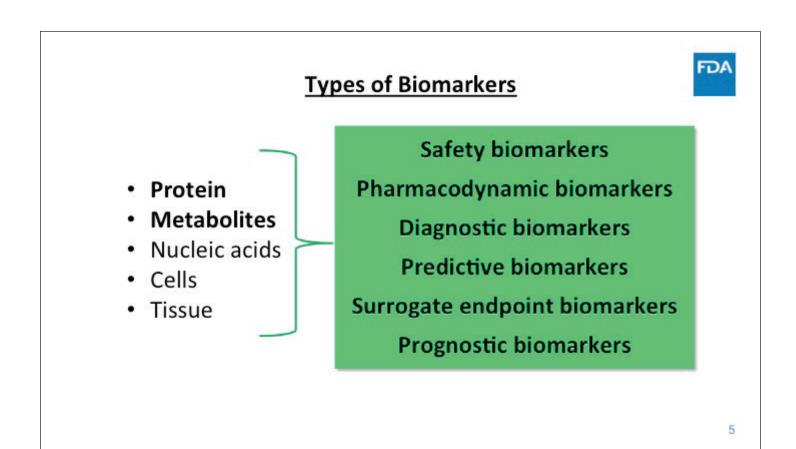
Background – Publications

- Workshop Report: 2013 Crystal City V (Booth et al., 2015)
- Workshop Report: 2015 Crystal City VI (Arnold et al., 2016; Lowes and Ackerman, 2016)
- Recommendations on biomarker method validation (Hougton et al., 2012)
- European Bioanalysis Forum recommendation (Timmerman et al., 2012)
- Fit-for-Purpose validation for biomarker measurement (Lee et al., 2006)

82 | PAGE

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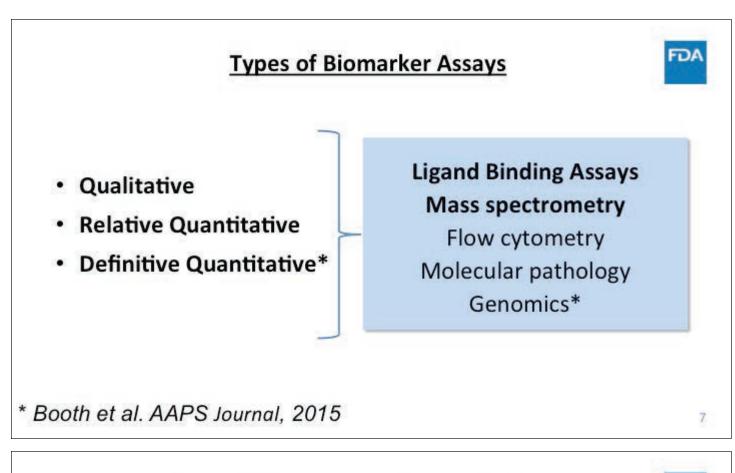
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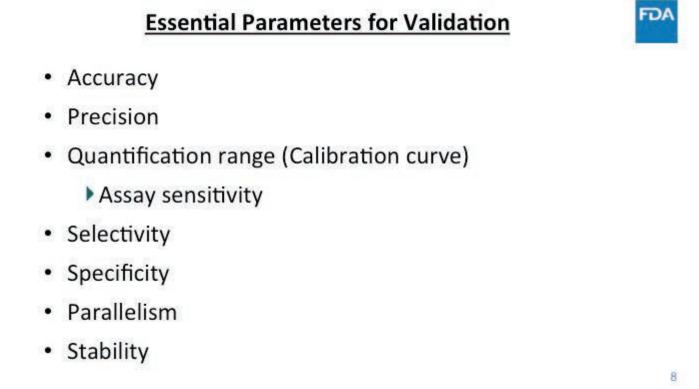


What is the Purpose of the Biomarker Assay?

- Assay for internal decision making, with no impact on label claims ("Category 1")*
- Assay supporting regulatory action for pivotal determinations of effectiveness/ dosage labeling ("Category 2")*
 - Pharmacodynamic interpretation for efficacy and labeling claims
 - For this category, data integrity is critical (full validation)

* Booth et al. AAPS Journal, 2015





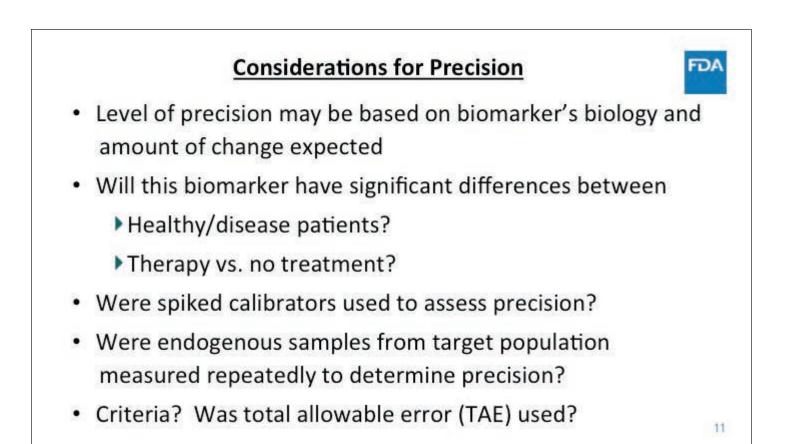
Considerations for QC Samples QC source and concentration Pooled from patient and/or healthy subject samples? Low QC samples by diluting an endogenous pool (higher concentration) with a surrogate matrix? Spiking reference standard into pools of endogenous matrix? If so, is the spiked reference material identical to the endogenous form? QC pool concentrations cover range of expected study

sample concentrations? How many concentration levels and replicates?

Considerations for Accuracy

- Criteria rely on absolute accuracy or relative accuracy?
- Was the 4-6-X acceptance criteria (i.e. PK) used?
- What is the context of the biomarker's intended use?
 This may dictate the assay's accuracy criteria
- Is it a small-molecule or peptide biomarker?
- Is there a stable label analogue reference standard?

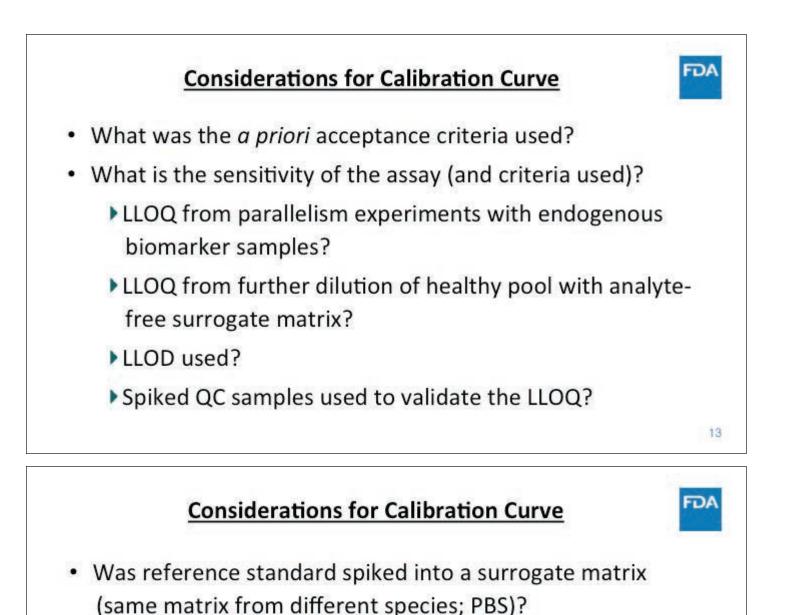
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Considerations for Calibration Curve

- Obtaining appropriate biomarker reference standard or blank matrix not always possible
- Reference standard may not be identical to endogenous biomarker
- Was the disease pool used as the ULOQ?
- Was the healthy pool used as the LLOQ?
- Were endogenous pools mixed in different ratios to develop the calibration curve?
- How many concentration points are on the curve?

J)



- Were potential differences in the assay's ability to measure analyte in surrogate matrix vs. patient matrix assessed?
- Did the performance of the calibration curve in matrix used behave similarly to the endogenous biomarker in native matrix? (Parallelism)

Selectivity/Specificity

- Was extraction used (e.g. for small-molecule and peptide biomarkers) to provide suitable LC-MS assay samples?
- Was an immunocapture step used?
- Were proteins with similar sequences, cleaved portion of pro-proteins, pro-proteins cleaved to the active form, and catabolites evaluated during assay specificity (if applicable)?
- Was matrix from patients (disease-state) evaluated for interference?

15

FDA

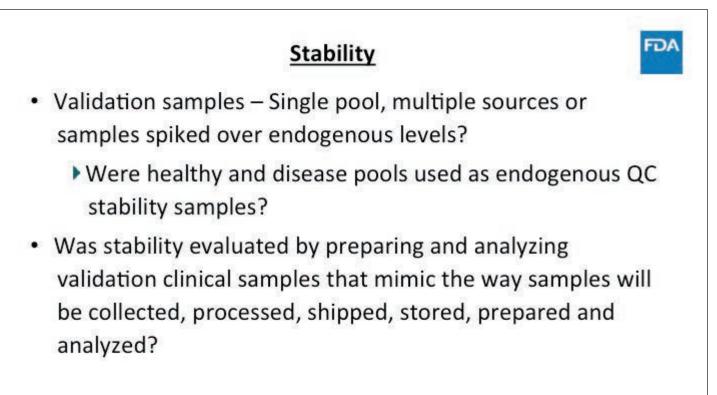
Selectivity/Specificity

- Was recovery of reference standard from matrix of normal and patient (disease-state) populations tested?
- Was interference from additional molecules present in the matrix evaluated?
- What were the critical assay reagents (e.g., ELISA, ECL)?
- Any concerns surrounding hemolyzed or lipemic matrix?

Parallelism Was the validity of the surrogate matrix determined? Demonstration that the surrogate used for the calibration curve correlates with the endogenous matrix or analyte How many individual lots of matrix (w/ endogenous concentration) used for assessment? Were multiple samples with different concentrations measured? How many serial dilutions tested? What was the resulting MRD for the LBA?

<u>Parallelism</u>

- Is there immunological similarity between the calibrators and the endogenous form of the biomarker (immunoassays)?
- Was parallelism used to assess interference?
- Was accuracy (bias) and precision determined to assure parallelism?



J)

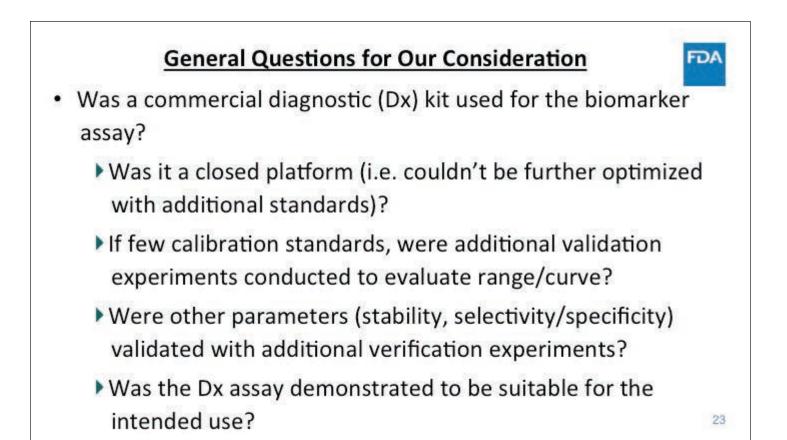
Stability

- Was the heterogeneity/metabolism/catabolism/ biotransformation considered in stability evaluation?
- How many replicates at each concentration level were used for stability assessment (3 to 5)?
- Were storage, freeze-thaw and in-process stability evaluated?
- Was stability evaluated for stock solutions with biomarker analytes?

General Questions for Your Consideration What was the purpose of the assay? Did you measure what you intended to measure? How much variability was in the measurement? What are the limits to the measurement? How did handling conditions affect the measurement?*

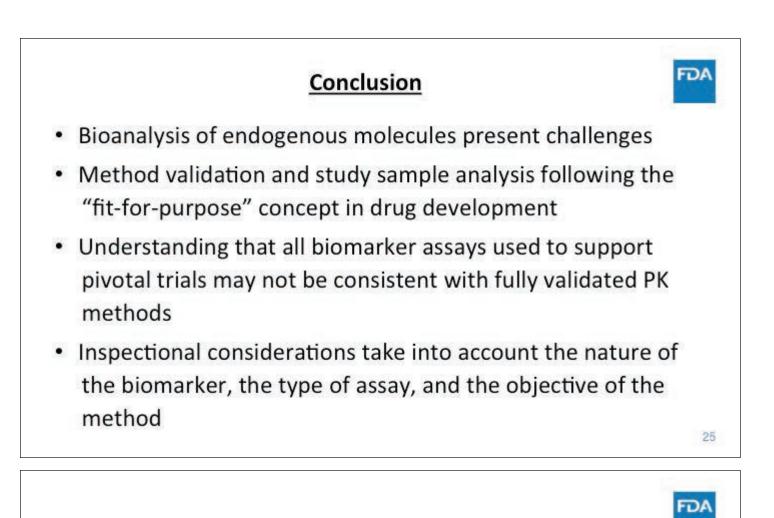
General Questions for Our Consideration

- If an assay parameter does not meet the criteria of a PK assay, will this pose data quality issues for the biomarker's intended use?
- Multi-analyte analysis:
 - Was the method validated for all analytes simultaneously/ individually?
 - During study sample analysis, if one analyte failed acceptance criteria, how were the passing analytes treated?
- How were assay plates set up (calibrators, QCs, blanks)?



General Questions for Our Consideration

- Were all method validation runs reported (passing and failed)?
- Was there individual plate acceptance criteria?
- Documentation, documentation, documentation



Acknowledgment

The Office of Study Integrity & Surveillance at FDA/ CDER/OTS





APA SHORT COURSE BIOGRAPHIES

Stephanie Fraser, PhD, Pfizer: Dr. Stephanie Fraser is an Associate Research Fellow in the Early Clinical Development organization at Pfizer in Groton, Connecticut. Since 2010 she has led a small but ambitious group of scientists that provide immunoassay based support to clinical biomarker programs across multiple therapeutic areas. Prior to joining Pfizer, Stephanie spent five years in preclinical toxicology at Charles River Laboratories where she managed a flow cytometry laboratory. She received her PhD in Cellular and Molecular Biology from the University of Nevada, Reno in 1999 and has since focused on biomarker development and fit-for-purpose bioanalytical assays.

Darshana Jani, Pfizer: Darshana Jani is Scientific Associate Director at Pfizer, Cambridge, MA, USA, where her role is to serve as scientific and technical lead for both CROs and internal teams for development, validation, and application of bioanalytical assays to comply with scientific and regulatory requirements. Darshana has over 25 years of experience in supporting preclinical to clinical studies holding positions with Sanoffi, MedImmune, Biogen and Pfizer. Darshana has risen from the ranks, at the outset developing and applying boianalytical methods, while assuming numerous responsibilities germane to regulatory compliance of the validation and application of group procedure. She has guided bioanalytical lab groups, held responsible for overseeing any and all phases of drug recovery and development; a task which incorporated product characterization, potency determination, immunogenicity testing, as well as surrogate biomarker assay development, validation and sample testing. Darshana has published several recommendation white papers with industry, academic and regulatory peers in the area of biomarkers as well as immunogenicity.

John A. Kadavil, PhD, FDA: Dr. Kadavil received his Bachelor's degree in Biochemistry from the University of Maryland Baltimore County (UMBC). He then received his Ph.D. in Molecular Pharmacology and Experimental Therapeutics from the University of Maryland Baltimore, School of Medicine. Following his Ph.D., he joined the U.S. Food and Drug Administration as a pharmacologist. Dr. Kadavil first worked in the Office of Scientific Investigations (OSI) - Division of Bioequivalence & Good Laboratory Practice under the Office of Compliance at the Center for Drug Evaluation and Research (CDER). During his 8 years at OSI, he conducted both foreign and domestic bioanalytical and clinical inspections. His inspections covered bioavailability/bioequivalence, pharmacokinetic, and GLP studies. In 2011, he joined the Division of Human Food Safety (DHFS) at the Center for Veterinary Medicine (CVM) as a pharmacologist, where he conducted reviews of residue chemistry studies and bioanalytical methods, as well as directed method trials for the implementation of official methods to determine and confirm drug residues. In 2014, Dr. Kadavil returned to CDER, and is currently the Team Lead for the Collaboration, Risk Evaluation and Surveillance Team (CREST) under the Office of Study Integrity and Surveillance (OSIS), which is in the Office of Translational Sciences. CREST supports the implementation and advancement of OSIS' surveillance inspection program of study sites that conduct bioequivalence/ bioavailability studies.

Fizal Nabbie, PhD, BMS: Fizal has over 30 years in the Pharmaceutical industry, in different disciplines and he successfully supported development of many pharmaceutical compounds during his career. Fizal has had a long career at Bristol-Myers Squibb and currently holds the position of Senior Research Investigator. In 2006 and again in 2015 Fizal won the "Excellence in Ligand Binding Assays" awards at AAPS conferences. Fizal received his Ph.D. in cell and molecular biology from The University of The Sciences, Philadelphia.

Paul Rhyne, PhD, Biologics Development Services: Dr. Paul Rhyne is a Vice president at Biologics Development Services (BDS). He obtained his Ph.D. in Cellular Immunology from the University of Tennessee at Memphis and gained post-doctoral experience in Virology at St. Jude Children's Research Hospital. Dr. Rhyne began his career in industry





working in a biotechnology company focused on early cancer detection technologies and in the commercial antibody industry where he developed Luminex based biomarker assays for the measurement of phosphorylated proteins. He joined Bristol-Myers Squibb pharmaceutical company overseeing a clinical biomarker assay group that developed and validated assays for BMS clinical trials. Dr. Rhyne continued to expand his career in the contract research organization industry as a Scientific Director at Tandem Laboratories and at Q2 Solutions (Quintiles) overseeing method development and validation of PK and Immunogenicity assays for biologics and biosimilars. Dr. Rhyne is currently a vice president at BDS responsible for all bioanalytical analysis and operations.

Martin Schwickart, PhD, Celgene: Dr. Martin Schwickart is currently Principal Scientist in Translational Development at Celgene. Martin aims to solve translational questions in late stage development with the help of clinical biomarkers, and models of human disease. Martin led a GLP lab, and oversaw assay development/validation of immunoassays, cell-based assays, and flow cytometry assays. Previously, Martin worked at MedImmune and Genentech. He performed his doctoral studies at the Max-Planck Institute for Molecular Cell Biology and Genetics in Dresden, Germany. Martin has published a number of research articles, many in high impact journals describing seminal work.

Timothy Sikorski, PhD, GSK: After graduating from the University of Pennsylvania in 2004, Tim completed his PhD at Harvard University, where he developed proteomic methods to study the dynamics of protein complexes during transcription. Tim joined GSK in October of 2011 as a member of the Biological Mass Spectrometry group in Molecular Discovery Research. There, he developed mass spectrometry-based methods to map post-translational modifications, such as acetylation and phosphorylation, on a proteome-wide scale for mechanism-of-action studies and to identify potential biomarkers. In January 2016, Tim transitioned to the Exploratory Biomarkers Group, where he has been working on developing novel methods for measuring endogenous protein and metabolite biomarkers in systemic matrices to support early Experimental Medicine clinical trials. These assays are serving as important pharmacodynamic endpoints in proving target engagement and mechanisms of action of GSK medicines.

Hans Ulrichts, PhD, UCB Pharma: Hans Ulrichts is a Bioanalytical Scientific Manager at UCB Pharma, Belgium. He obtained his PhD at the Laboratory for Thrombosis Research at the University of Leuven, Belgium, in the field of haematology and thrombosis. After a post-doctoral position at the Royal College of Surgeons in Ireland, Dublin, he joined Ablynx in 2006, where he held several positions with increasing responsibilities. Prior to joining UCB Pharma in 2017, Dr. Ulrichts was the head of the pharmacology department at Ablynx, leading a team of 60 scientists, responsible for the (pre)clinical pharmacology testing of Nanobodies and with a specific focus on bioanalysis. He published extensively, mainly in the field of haematology and thrombosis.





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